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NEW YORK STATE WORKFORCE DEVELOPMENT SYSTEM TECHNICAL ADVISORY

Workforce Development System Technical Advisory #09-1.2

TO: Workforce Development Community

DATE: October 27, 2010

SUBJECT:

Update on changes to Catalog of Federal Domestic Assistance (CFDA) Titles and Numbers of the audit requirements for OMB Circular A-133 – Audits of States, Local Governments, and Non-profit Organizations for the Local Workforce Investment Areas (LWIAs) per the Training and Employment Guidance letter No. 10-10 published by the USDOL Employment and Training Administration (ETA).

Purpose

To provide LWIAs with updated changes to the CFDA Title and Number for their existing federal awards beginning in Program Year (PY) 2010. This change impacts only one of many existing CFDA numbers associated with Federal programs.

Action

CFDA number and title identifying each grant separately will be included on the Notice of Obligational Authority (NOA) for pass-through awards to the LWIAs. NOAs for the grants effective July 1, 2010 and onwards will include updated CFDA numbers for the WIA DW funds. LWIAs, in turn, must ensure that lower tier subrecipients are aware of this change.

An updated list of Federal Awards that NYSDOL provides as a pass-through entity has been provided in the attachment to this technical advisory. For each award, the list includes federal agency, CFDA title and number. LWIAs should retain this listing for future reference. This list will be updated periodically to include new programs and delete the old programs. This updated list is effective July 1, 2010.

Background

OMB Circular A-133 and the audit regulations at 29 CFR 99.400 (d) (1) describe the responsibilities of the New York State Department of Labor (NYSDOL), as the pass-through entity, regarding single audit requirements for their subrecipients, the LWIAs. One of the responsibilities of the pass-through entity, when making federal awards, is to inform each

subrecipient (LWIA) of the name of the federal agency, CFDA title and number so that the subrecipient can properly prepare the Schedule of Expenditure of Federal Award (SEFA) for their audits. This schedule should list the amounts of federal funds “expended” by the organization during the fiscal year individually by the CFDA numbers. To prepare the SEFA, list the individual federal programs by federal agency. For federal programs included in a cluster of programs (WIA), list individual federal programs within a cluster of programs.

A cluster of programs is a grouping of closely related programs that have similar compliance requirements. Although the programs within a cluster are administered as separate programs with different CFDA numbers, a cluster of programs is treated as a single program for the purpose of meeting the audit requirements of OMB Circular A-133, Section 105. The WIA Adult program, WIA Youth program and WIA Dislocated Worker program are parts of the WIA cluster. Consistent with OMB Circular A-133 Section 400(d) (1) and Section 400(d)(2), a state shall identify the federal awards included in the cluster and advise the subrecipients of compliance requirements applicable to the cluster.

To provide better transparency and accountability for federal funds, ETA has split the CFDA number associated with WIA Dislocated Worker funds (CFDA number 17.260) into four new CFDA numbers. The new CFDA numbers apply for PY 2010 and subsequent program years. This change impacts only one of many existing CFDA numbers associated with federal programs.

Change to CFDA number 17.260 Dislocated Worker

CFDA number 17.260 WIA Dislocated Worker (DW) will no longer be used on new grants associated with the WIA DW appropriation. For all such grants issued from the WIA DW appropriation after June 30, 2010, the following numbers will be used:

- 17.277 WIA National Emergency Grants for Dislocated Worker activities;
- 17.278 WIA Dislocated Worker Formula Grants;
- 17.280 WIA Dislocated Worker National Reserve Demonstration Grants; and
- 17.281 WIA Dislocated Worker National Reserve Technical Assistance and Training Grant.

Grants awarded prior to July 1, 2010 will continue to use CFDA number 17.260 during the life of their funds; therefore, no modifications of existing grants will be necessary.

Inquiries

Please direct all inquiries regarding this technical advisory to:

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Attachments

Schedule of CFDA Numbers

SCHEDULE OF CFDA NUMBERS

Federal Agency	CFDA Title	CFDA Number
LABOR	Trade Adjustment Assistance Workers	17.245
	WIA Adult Program	17.258
	WIA Youth Activities	17.259
	WIA Dislocated Workers	17.260
	WIA Local Administration	17.258
		17.259
		17.260
	WIA Statewide Activities Supplemental Funds	17.258
		17.259
		17.260
	WIA Statewide Activities Incentive Grant	17.258
		17.259
		17.260
	WIA Statewide Activities Gas Card Initiative	17.258
		17.259
		17.260
WIA Statewide Activities Transportation Assistance	17.258	
	17.259	
	17.260	
WIA Statewide Activities Technology Purchase	17.258	
	17.259	
	17.260	
WIA Statewide Activities Funds – E-Learning	17.258	
	17.259	
	17.260	
WIA Statewide Activities Funds – ITA Pilot	17.258	
	17.259	
	17.260	
WIA Statewide Rapid Response Supplemental Funds	17.260	
Rapid Response Discretionary Dislocated Worker	17.260	
Statewide Rapid Response – TAA Staff services	17.260	

	Statewide Rapid Response – TAA Training Related Services	17.260
	Workforce Innovation in Regional Economic Development Initiative (WIRED)	17.268
	Work Incentives Grant (DPN)	17.266
	Disability Employment Initiative (DEI)	17.207
	VESID (DPN)	84.126
	ARRA Adult Program	17.258
	ARRA Youth Program	17.259
	ARRA Dislocated Worker	17.260
LABOR	For PY 2010 & and Subsequent Program Years	
	WIA Dislocated Worker funds Effective July 1, 2010	
	WIA Dislocated Workers Formula	17.278
	WIA Local Administration	17.258 17.259 17.278
	WIA Statewide Activities funds	17.258 17.259 17.278
	WIA Statewide Rapid Response Funds	17.278
	WIA National Emergency Grants-Dislocated Worker activities	17.277
	WIA Dislocated Worker National Reserve Demonstration Grants	17.280
	WIA Dislocated Worker National Reserve Technical Assistance and Training Grant	17.281