New York State
Registered Apprenticeship Training Program

Sponsor Information Sheet and Instructions

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

Section I

A. Sponsor name: SH5 Construction Corp.

B. Trade(s): Skilled Construction Craft Laborer

C. Type of Apprenticeship Training Program (check one):
   1. Individual Non-Joint
   2. Individual Joint
   3. Group Non-Joint
   4. Group Joint (JAC/JATC)*

*For sponsors of group programs only (3 and 4) – See instructions for signatory list submission information.

D. Name of entity completing this form: SH5 Construction Corp

E. Entity completing this form (check one):
   □ Individual Employer/Sponsor
   □ Union
   □ JAC/JATC
   □ Association
   □ Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing body

F. Mailing address: Street: 2169 W 5th Street
   City/Town: Brooklyn
   State: NY
   Zip Code: 11223

G. Email: [Redacted]
   H. Phone: (718) 259-2625
   I. Fax: (718) 259-5024

J. Federal Employer Identification Number (FEIN): [Redacted]

K. NYS Unemployment Insurance Employer Registration (ER) Number: [Redacted]

L. Is this entity required to report any employee wages under this FEIN to the NYS Department of Tax and Finance? □ Yes □ No

M. Type of Entity (check one and provide attachments as noted in the instructions):
   □ Corporation
   □ Partnership
   □ Sole-Proprietor
   □ LLC
   □ LLP
   □ Other

N. How many years has your organization been in business? 18 Years

O. Within the past five (5) years, have you done business under a different name? □ Yes □ No
   If ‘Yes’, provide attachments as noted in the instructions.

P. If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program? □ Yes □ No
   If ‘Yes’, provide attachments as noted in the instructions.

Section II

Complete all questions, (1 – 10), in this section and provide attachments as noted in the instructions.

Within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been the subject of:

1. Any conviction for a crime under state or federal law? □ Yes □ No
2. Any indictment or pending indictment for conduct constituting a crime under state or federal law? □ Yes □ No
3. Any grant of immunity for conduct constituting a crime under state or federal law? □ Yes □ No

** For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.
4. Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification for any bid in any state or municipality, or a voluntary exclusion agreement?  .................................................. ☐ Yes  ☑ No
5. Any federal, state, or municipal debarments, including Workers' Compensation or Public Work? . . . . ☐ Yes  ☑ No
6. Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division? ............ ☐ Yes  ☑ No
7. a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation? ...... ☐ Yes  ☑ No
    b. Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? ☐ Yes  ☑ No
8. a. Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the Division of Safety and Health, or the Division of Labor Standards? .......................................................... ☐ Yes  ☑ No
    b. If 'Yes', was the violation determined to be willful? .................................................................................................................................................................................. ☐ Yes  ☑ No
9. Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of Human Rights, federal or state courts, or local Civil Rights Commissions? .......................................................... ☐ Yes  ☑ No
10. Any stipulations, settlement, consent order, or like agreement involving any state, municipal, or federal enforcement action (judicial or regulatory) other than those covered above? ............ ☐ Yes  ☑ No

After completing Sections I and II, you must sign Section III, and have it notarized.

Section III
Certification – I, the undersigned, recognize that I submit this questionnaire to permit the New York State Department of Labor to review the background of the applicant, sponsor, union, or signatory employers and association(s) serving as a member of the JAC/JATC or other governing body at the time of new program application, during program probation, at recertification, or as otherwise deemed appropriate by the Department.

I certify:

- That the Department may use its sole discretion to choose the means to determine the truth and accuracy of all statements made herein.
- That intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL § 210.35), and may be punishable by a fine of up to $1,000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1)).
- That the information submitted in this questionnaire and any attachments is true, accurate, and complete.

The undersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, signatory, or union participating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the sponsor's application request or program. Signing this document constitutes permission to release this information (including UI information) concerning the entity completing this form to the program sponsor.

Signature of CEO, Chair, or representative granted legal authority to bind the Entity

Yasmeen Latif  President

Print name and title: ____________________________  Date: ____________

Sworn to me this: ____________________________  Date: ____________

Signature of Notary Public or Commissioner of Deeds
NYC

Apprentice Training Program Registration Agreement

Revision □
Nature of Change: New Program

State Use Only
AT Sponsor No.
ATP Code 18-514
Effective Date of AT Program

1. Name of Sponsor: SH5 Construction Corp

2. Mailing Address: 2169 W 5th Street Brooklyn NY 11223 Kings
   (number & street) (city) (state) (zip code) (county)

3. Actual Address: 2169 W 5th Street Brooklyn NY 11223 Kings
   (number & street) (city) (state) (zip code) (county)

4. Telephone No.: 718-259-2625 Ext.
   Fax No.: 718-259-5024

5. E-mail Address: [Redacted]

6. Trade/Occupation: SKILLED CONSTRUCTION CRAFT LABORER

7. No. Employees: 16
   No. Apprentices: 1
   No. Journeyworkers: 15

8. Ratio: 1:1,1:3

9. DOT Code: 869.463-580

10. Length of Program: 24 months

11. Apprentice Probationary Period: 6 MONTHS

12. Work process: Standard □ or Revised □

13. Minimum Journeyworker Rate: $20.00 per HOUR

14. Effective Date of Wages: 8/1/22

15. Apprentice wage progression for each period – in months (M) or hours (H)

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16. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.

17. Signature of Official Sponsor Representative

18. Date

19. Signature of Union Representative

20. Date

Print Name and Title

Signature New York State Department of Labor

Date

Central Office

OCT 25 2022

NYS Department of Labor
Apprentice Training

Print Name, Title, and Union Name

AT 10 (11/20)
I. Apprenticeship Agreement

Name of Apprentice: [Redacted]
Social Security Number: [Redacted]

Name of Program Sponsor: SH5 Construction Corp

Physical address of Program Sponsor (no. and street):
2169 W 5th Street

City: Brooklyn
County: Kings
State: NY
Zip code: 11223

Mailing address of Program Sponsor (no. and street):
2169 W 5th Street

City: Brooklyn
County: Kings
State: NY
Zip code: 11223

2. Trade: □ Time-based □ Competency-based □ Hybrid

□ Skilled Construction Craft Laborer

3. Start Date: [Redacted]

4. Length of program (Months): 36

5. DOL Apprentice Probation Period for Completion Rates (Months): 12

6. Related and Supplemental Instruction (RI) Provider(s) and location(s):
Andromeda Community Initiative Inc. 49-12 31st Place, Long Island City, NY 11101

RI Compensated: □ Yes □ No

7. Minimum Journey-Worker Rate: $20.00 hour

8. Credit for previous training or experience:

9. Apprentice Wage Progression (Without Benefits) for each Period:

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<th>Period</th>
<th>Rate</th>
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<td>1</td>
<td>12</td>
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<tr>
<td>2</td>
<td>12</td>
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<tr>
<td>3</td>
<td>18.00</td>
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<tr>
<td>4</td>
<td>18.54</td>
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</tbody>
</table>

The Sponsor and the Apprentice Agree to the Terms on Page 2 of this Form.

Signature of Apprentice and Parent/Guardian if age 16-17: [Redacted]

Signature of Official Sponsor Representative: [Redacted]

Registered by the New York State Department of Labor:

Signature New York State Department of Labor: [Redacted]

II. Worksite Training Completion or Termination

Check one: □ Completed Worksite Training □ Terminated for Cause □ Quit □ Layoff □ Program Termination □ Transfer

Completion or Termination Date: [Redacted]

Comments: [Redacted]

Signature of Official Sponsor Representative: [Redacted]

Print Name: [Redacted]

III. RI Completion

□ Apprentice has satisfied the RI requirements. Completion date: [Redacted]

□ Apprentice has not satisfied the RI requirements.

Signature of DLEA Representative: [Redacted]

Print Name: [Redacted]
NYC

Apprentice Training Recruitment Notification and Minimum Qualifications

Sponsor: SH5 Construction Corp

Located at: (Address) 2169 W 5th Street, Brooklyn, NY 11223

Is presently accepting applications for Apprenticeship Training Positions: List estimated number of openings: 0

In the occupation of: (List Trade) Skilled Construction Craft Laborer

If you are interested in taking advantage of this training opportunity and meet the following qualifications, you are eligible to apply.

Minimum Qualifications: Must have a High School Diploma or High School Equivalency Diploma (such as TASC or GED).

Minimum Age: 18  Minimum Education:

Physical Condition: Be physically able to perform the work required as determined by:

are physically able to perform the work required of a skilled construction craft laborer.

(Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally, any testing fees and permitted application fees charged to an applicant may not result in a profit for the sponsor.)

Other: Working in extreme temperatures, both indoors and outdoors

Other: Lifting and carrying 25 pounds of materials for a distance of 25 feet.

Other:

Application forms may be obtained: From: ___________________ To: ___________________

Name: SH5 Construction Corp

Address: 2169 W 5th Street, Brooklyn, NY 11223

Days: Mon - Fri  Times: 9am to 5pm

Phone: (718) 259-2625  Email: ___________________

Special Instructions: Please email request for application

All Applications Must be (please check) ☐ Received ☐ Postmarked  No Later Than: ___________________

NYS Department of Labor Apprentice Training

OCT 25 2022

Central Office
Apprentice Training Recruitment Notification and Minimum Qualifications

Sponsor: SH5 Construction Corp

Located at: (Address) 2169 W 5th Street, Brooklyn, NY 11223

Is presently accepting applications for Apprenticeship Training Positions: List estimated number of openings: 0

In the occupation of: (List Trade) Skilled Construction Craft Laborer

If you are interested in taking advantage of this training opportunity and meet the following qualifications, you are eligible to apply.

Minimum Qualifications

Must have a High School Diploma or High School Equivalency Diploma (such as TASC or GED).

Minimum Age: 18 Minimum Education:

Physical Condition: Be physically able to perform the work required as determined by: Must attest that they are physically able to perform the work required of a skilled construction craft laborer.

(Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally, any testing fees and permitted application fees charged to an applicant may not result in a profit for the sponsor.)

Other: Background check paid by sponsor

Other: Drug Testing paid by applicant

Other: Working at heights and in confined spaces

Application forms may be obtained: From: ________________ To: ________________

Name: SH5 Construction Corp

Address: 2169 W 5th Street, Brooklyn, NY 11223

Days: Mon-Fri Times: 9 to 5

Phone: (718) 259-2625 Email: 

Special Instructions:

Please email request for application

All Applications Must be (please check) □ Received □ Postmarked No Later Than: ________________________

AT 505 (05/21) See Instructions on Reverse Side
**Selection Standards and Evaluations**

**Name of Candidate:** ___________________  **Trade:** Skilled Construction Craft Laborer

**Address:** 2169 W 5th Street  **City:** Brooklyn  **State:** NY  **Zip:** 11223

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<th>Number of Years Credited</th>
<th>Score</th>
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<td>☑ 3 Points for Each Trade Related Adult or Continuing Education Course Completed</td>
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<td>☑ Administered by _____________________________</td>
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<td>☑ Other: _____________________________</td>
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**Total Allowable Points** → 76

**Rank** _____________________________

**Sponsor Name:** SH5 Construction Corp

**Sponsor Address:** 2169 W 5th Street, Brooklyn, NY 11223

**Oct 25, 2022**

**NYS Department of Labor Apprentice Training**

**Central Office**
WE ARE YOUR DOL

Department of Labor
www.labor.ny.gov

Non-Discrimination Plan
(Short Form)

A. **Equal Opportunity Pledge**: Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. **Sexual Harassment Prevention Policy**: Our policy is that sexual harassment is **prohibited**. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- Submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an **apprentice**, the program's apprenticeship administrator and the NYS Apprenticeship Director must be notified of the complaint.

C. **Minimum Qualifications and Selection Standards**: It is agreed that the minimum qualifications and selection standards utilized will be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form AT 508, Selection Standards and Evaluations, on file with the Department.

D. **Recruitment**: It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):

- Listing all apprentice openings with the NYS Job Bank (https://newyork.jobsny.com) for a minimum of five full working days before selections are made.
- Limiting recruitment to present employees of the sponsor and/or union members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Job Bank (https://newyork.jobsny.com).
- Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used.

On behalf of the sponsor, I certify that it is our intent to fulfill those Equal Opportunity Standards.

Signature of Sponsor: ___________________________ Date: 9/20/2022

Print Name and Title: Jasmeen Lally, President

Approved by: ___________________________ Date: ___________________________

Sponsor Name: SH5 Construction Corp. Sponsor Code: ___________________________

No. of Apprentices: 1

Trade(s): Skilled Construction Craft Laborer Trade Code(s): 18-514

AT 602 (12/21)