New York State
Registered Apprenticeship Training Program

Sponsor Information Sheet and Instructions

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

Section I

A. Sponsor name: RED-Rochester, LLC

B. Trade(s): Plant Maintenance Electrician, Stationary Engineer, Pipefitter, Plant Maintenance-Millwright

C. Type of Apprenticeship Training Program (check one):
   1 ☑ Individual Non-Joint  2 ☐ Individual Joint  3 ☐ Group Non-Joint*  4 ☐ Group Joint (JAC/JATC)*
   *For sponsors of group programs only (3 and 4) – See instructions for signatory list submission information.

D. Name of entity completing this form: RED-Rochester, LLC

E. Entity completing this form (check one):
   ☑ Individual Employer/Sponsor ☐ Union ☐ JAC/JATC ☐ Association
   ☐ Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing body

F. Mailing address:
   Street: 1200 Ridgeway Ave. Suite 2121
   City/Town: Rochester
   State: NY  Zip Code: 14615

G. Email:

H. Phone: (585) 865-4278
   L. Fax:

J. Federal Employer Identification Number (FEIN):

K. NYS Unemployment Insurance Employer Registration (ER) Number:

L. Is this entity required to report any employee wages under this FEIN to the NYS Department of Tax and Finance? ☑ Yes ☐ No

M. Type of Entity (check one and provide attachments as noted in the instructions):
   ☐ Corporation ☐ Partnership ☐ Sole-Proprietor ☑ LLC ☐ LLP ☐ Other

N. How many years has your organization been in business? 9

O. Within the past five (5) years, have you done business under a different name? ☐ Yes ☑ No
   If 'Yes', provide attachments as noted in the instructions.

P. If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program? ☑ Yes ☐ No
   If 'Yes', provide attachments as noted in the instructions.

Section II

Complete all questions, (1 – 10), in this section and provide attachments as noted in the instructions.

Within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been the subject of:

1. Any conviction for a crime under state or federal law? ☑ Yes ☐ No

2. Any indictment or pending indictment for conduct constituting a crime under state or federal law? ☑ Yes ☐ No

3. Any grant of immunity for conduct constituting a crime under state or federal law? ☑ Yes ☐ No

** For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.
4. Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification for any bid in any state or municipality, or a voluntary exclusion agreement? □ Yes □ No

5. Any federal, state, or municipal debarments, including Workers' Compensation or Public Work? □ Yes □ No

6. Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division? □ Yes □ No

7. a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation? □ Yes □ No

b. Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? □ Yes □ No

8. a. Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the Division of Safety and Health, or the Division of Labor Standards? □ Yes □ No

b. If ‘Yes’, was the violation determined to be willful? □ Yes □ No

9. Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of Human Rights, federal or state courts, or local Civil Rights Commissions? □ Yes □ No

10. Any stipulations, settlement, consent order, or like agreement involving any state, municipal, or federal enforcement action (judicial or regulatory) other than those covered above? □ Yes □ No

After completing Sections I and II, you must sign Section III, and have it notarized.

Section III

Certification — I, the undersigned, recognize that I submit this questionnaire to permit the New York State Department of Labor to review the background of the applicant, sponsor, union, or signatory employers and association(s) serving as a member of the JAC/JATC or other governing body at the time of new program application, during program probation, at recertification, or as otherwise deemed appropriate by the Department.

I certify:

• That the Department may use its sole discretion to choose the means to determine the truth and accuracy of all statements made herein.

• That intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL § 210.35), and may be punishable by a fine of up to $1,000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1)).

• That the information submitted in this questionnaire and any attachments is true, accurate, and complete.

The undersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, signatory, or union participating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the sponsor’s application request or program. Signing this document constitutes permission to release this information (including UI information) concerning the entity completing this form to the program sponsor.

Bernard M. Nei, Jr.

Signature of CEO, Chair, or representative granted legal authority to bind the Entity

Date: 6/15/2022

Print name and title: Bernard M. Nei, Jr. Chief Technical Officer

Sworn to me this: 15th day of June __________

Signature of Notary Public or Commissioner of Deeds

Received

Apprenticeship Unit

SEP 26 2022

ROCHESTER

AT 9 (05/16)
Apprentice Training Program Registration Agreement

Revision □
Nature of Change: New Program Application w/ Revised Work Process Training Outline

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<th>State Use Only</th>
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<tbody>
<tr>
<td>AT Sponsor No. 78110</td>
</tr>
<tr>
<td>ATP Code 69-419</td>
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<tr>
<td>Effective Date of AT Program</td>
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1. Name of Sponsor: RED - Rochester, LLC

2. Mailing Address: 1200 Ridgeway Ave, Suite 2121 Rochester NY 14615 Monroe

3. Actual Address: Same as above

4. Telephone No.: 585-865-4278 Ext. Fax No.: 

5. E-mail Address: 

6. Trade/Occupation: Plant Maintenance - Millwright


8. DOT Code: 638.281-018 Length of Program: 48 months

11. Apprentice Probationary Period: 12 months Work process: Standard or Revised

13. Minimum Journeymen Rate: $30.00 per hour Effective Date of Wages: 9/23/2022

15. Apprentice wage progression for each period – in months (M) or hours (H)

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$25.50 $26.00 $26.50 $27.00 $27.50 $28.00 $28.50 $29.00

16. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.

17. Signature of Official Sponsor Representative Date

Sue Campbell - Training Supervisor/Safety Coordinator

18. Signature of Union Representative Date

Print Name and Title

Print Name, Title, and Union Name

19. Signature New York State Department of Labor Date

AT 10 (11/20)
Apprenticeship Training Program

Related Instruction Availability

Trade: Plant Maintenance - Millwright
Sponsor Name: RED - Rochester, LLC
Sponsor Representative: Sue Campbell - Training Supervisor
Sponsor Address:
No. & Street: 1200 Ridgeway Ave, Suite 2121
City: Rochester
County: Monroe
State: NY
Zip Code: 14615
Sponsor Telephone No.: 585-865-4278
Proposed Number of Apprentices: 1

AT Office
Name: NYSDOL - Apprenticeship Training Unit
No. & Street: 276 Waring Road
City: Rochester
State: NY
Zip Code: 14609
Apprentice Training Representative: [Redacted]
Date Prepared: 8/8/19

☐ Related instruction is not available. ☑ Related instruction is available at:

School
Name: Monroe Community College - Applied TechnologyCtr
No. & Street: 1000 East Henrietta
City: Rochester
State: NY
Zip Code: 14623
School Representative Contact Information:
Name: Dale Pearce
Telephone No.: 585-685-6118
Email: dpearce4@monroecc.ed

School
Name: Penn Foster (Online)
No. & Street: http://www.workforcedevelopment.com/apprenticeship.html
City: [Redacted]
State: [Redacted]
Zip Code: [Redacted]
School Representative Contact Information:
Name: Harold Ayers
Telephone No.: 800-672-9377
Email: [Redacted]

DLEA
Name: Paul V. Burke - Office of Adult & Continuing Education Rochester City School District
No. & Street: 30 Hart Street
City: Rochester
State: NY
Zip Code: 14605
Signature of DLEA: [Redacted]
Date Prepared: [Redacted]

AT 8 (1/19)
Apprentice Training Recruitment Notification and Minimum Qualifications

Sponsor: RED - Rochester, LLC

Located at: (Address) 1200 Ridgeway Ave, Suite 2121, Rochester, NY 14615

Is presently accepting applications for Apprenticeship Training Positions: List estimated number of openings: 1

In the occupation of: (List Trade) Plant Maintenance - Millwright

If you are interested in taking advantage of this training opportunity and meet the following qualifications, you are eligible to apply.

Minimum Qualifications

Minimum Age: 18

Minimum Education: High School Diploma or High School Equivalency Diploma (such as TASC or GED).

Physical Condition: Be physically able to perform the work required as determined by: an applicant's verbal statement. Must submit to drug testing which is required prior to employment within this program and may be administered at any time during the apprenticeship. The test will be paid for by the sponsor.

(Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally, any testing fees and permitted application fees charged to an applicant may not result in a profit for the sponsor.)

Other: Must show evidence of successful completion of: one year of high school algebra, or one post high school algebra course with a passing grade after selection and prior to enrollment. Must be able to climb and work from ladders and scaffolds of various lengths and heights.

Other: Must be able to crawl and work in confined spaces such as attics, manholes, and crawl spaces. Must be able to lift and carry weights in excess of 50 lbs. for extended time periods. Must be able to stand, sit, squat, and/or bend repeatedly for prolonged periods of time.

Other: Must be willing and able to attend classes, at the approved Related Classroom Instruction provider, as a component of the apprenticeship program. Must have reliable transportation to and from work and school. Must have a valid NYS Drivers license, as required to operate company vehicles.

Application forms may be obtained: From: To:

Name: RED-Rochester LLC

Address: 1200 Ridgeway Ave, Suite 2121 Rochester, NY 14615

Days: Monday - Friday Times: 9:00am - 3:00pm

Phone: (585) 865-4278 Email:

Special Instructions:

All Applications Must be (please check) □ Received □ Postmarked No Later Than: __________________________

See Instructions on Reverse Side
Selection Standards and Evaluations

Name of Candidate: _______________________________ Trade: Plant Maintenance - Millwright
Address: _______________________________ City: __________________ State: _____ Zip: ________

Only those checked apply.

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<th>Educational Achievement</th>
<th>Maximum Points Allowable</th>
<th>Number of Years Credited</th>
<th>Score</th>
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<tr>
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<td>✓ 2 Points for Each Year of Related Technical Education Past Grade _____ or Equivalent as Recognized by Local Educational Authorities</td>
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<td>✓ 1 Points for Each Trade Related Adult or Continuing Education Course Completed</td>
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<td>✓ 2 Points for Each Year of Trade Related Work Experience</td>
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<tr>
<td>✓ 2 Points for Each Year of Active Military Experience</td>
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<td>□ Other: _______________________________</td>
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<th>Oral Interview: Not to Exceed 40% of Total Score</th>
<th>Maximum Points Allowable</th>
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<tr>
<td>✓ 1 Ability to Communicate</td>
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<td>✓ 1 Willingness to Accept Obligation of Apprenticeship</td>
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<tr>
<td>✓ 1 Ability to Reason and Comprehend</td>
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<tr>
<td>✓ 1 Interest and Motivation</td>
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<td>□ Other: _______________________________</td>
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Total Allowable Points: 60

Total Score: _______________________________

Evaluated by: _______________________________ Date: _______________________________

Sponsor Name: RED - Rochester, LLC
Sponsor Address: 1200 Ridgeway Ave, Suite 2121, Rochester, NY 14615

AT 508 (10/21)
WE ARE YOUR DOL

www.labor.ny.gov

Non-Discrimination Plan
(Short Form)

A. Equal Opportunity Pledge: Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. Sexual Harassment Prevention Policy: Our policy is that sexual harassment is prohibited. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- Submission to such contact is made either explicitly or implicitly a term or condition of an individual’s employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual’s work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an apprentice, the program’s apprenticeship administrator and the NYS Apprenticeship Director must be notified of the complaint.

C. Minimum Qualifications and Selection Standards: It is agreed that the minimum qualifications and selection standards utilized will be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form AT 508, Selection Standards and Evaluations, on file with the Department.

D. Recruitment: It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):

☐ Listing all apprentice openings with the NYS Job Bank (www.newyork.us.jobs/) for a minimum of five full working days before selections are made.

☑ Limiting recruitment to present employees of the sponsor and/or union members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Job Bank (www.newyork.us.jobs/).

☐ Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used.

On behalf of the sponsor, I certify that it is our intent to fulfill these Equal Opportunity Standards.

Signature of Sponsor: ___________________________ Date: 09-20-2022

Robin Farrell Human Resources Manager

Print Name and Title

Approved by: ___________________________ Date: ___________________________

New York State Department of Labor

Sponsor Name RED - Rochester, LLC Sponsor Code 78110 No. of Apprentices 2,1,1

Trade(s) PM - Electrician, Stationary Engineer, Pneumaticfitter


AT 602 (11/20)

The above signature must be the employer's Chief Executive Officer or the Chair of the Joint Apprenticeship Committee or their authorized representative.