New York State
Registered Apprenticeship Training Program
Sponsor Information Sheet and Instructions

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

Section I

A. Sponsor name: Pursuit Transformation Benefit Corporation

B. Trade(s): Software Development (90-562c)

C. Type of Apprenticeship Training Program (check one):
   1. □ Individual Non-Joint
   2. □ Individual Joint
   3. □ Group Non-Joint
   4. □ Group Joint (JAC/JATC)*
   *For sponsors of group programs only (3 and 4) – See instructions for signatory list submission information.

D. Name of entity completing this form: Timothy Asprec

E. Entity completing this form (check one):
   ☑ Individual Employer/Sponsor
   □ Union
   □ JAC/JATC
   □ Association
   □ Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing body

F. Mailing address: Street: 47-10 Austell Place, 2nd Floor
   City/Town: Long Island City, State: NY
   Zip Code: 11101

G. Email [Redacted]
   H. Phone: 9086424753
   I. Fax:

J. Federal Employer Identification Number (FEIN): [Redacted]

K. NYS Unemployment Insurance Employer Registration (ER) Number: [Redacted]

L. Is this entity required to report any employee wages under this FEIN to the NYS Department of Tax and Finance? □ Yes ☑ No

M. Type of Entity (check one and provide attachments as noted in the instructions):
   ☑ Corporation
   □ Partnership
   □ Sole-Proprietor
   □ LLC
   □ LLP
   □ Other

N. How many years has your organization been in business? 9

O. Within the past five (5) years, have you done business under a different name? □ Yes ☑ No
   If 'Yes', provide attachments as noted in the instructions.

P. If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program? □ Yes ☑ No
   If 'Yes', provide attachments as noted in the instructions.

Section II

Complete all questions, (1 – 10), in this section and provide attachments as noted in the instructions.

Within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been the subject of:

1. Any conviction for a crime under state or federal law? □ Yes ☑ No
2. Any indictment or pending indictment for conduct constituting a crime under state or federal law? □ Yes ☑ No
3. Any grant of immunity for conduct constituting a crime under state or federal law? □ Yes ☑ No

** For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.
4. Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification for any bid in any state or municipality, or a voluntary exclusion agreement? □ Yes ☑ No
5. Any federal, state, or municipal debarments, including Workers' Compensation or Public Work? □ Yes ☑ No
6. Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division? □ Yes ☑ No
7. a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation? □ Yes ☑ No
    b. Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? □ Yes ☑ No
8. a. Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the Division of Safety and Health, or the Division of Labor Standards? □ Yes ☑ No
    b. If 'Yes', was the violation determined to be willful? □ Yes ☑ No
9. Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of Human Rights, federal or state courts, or local Civil Rights Commissions? □ Yes ☑ No
10. Any stipulations, settlement, consent order, or like agreement involving any state, municipal, or federal enforcement action (judicial or regulatory) other than those covered above? □ Yes ☑ No

After completing Sections I and II, you must sign Section III, and have it notarized.

Section III
Certification — I, the undersigned, recognize that I submit this questionnaire to permit the New York State Department of Labor to review the background of the applicant, sponsor, union, or signatory employers and association(s) serving as a member of the JAC/JATC or other governing body at the time of new program application, during program probation, at recertification, or as otherwise deemed appropriate by the Department.

I certify:
• That the Department may use its sole discretion to choose the means to determine the truth and accuracy of all statements made herein.
• That intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL § 210.35), and may be punishable by a fine of up to $1,000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1)).
• That the information submitted in this questionnaire and any attachments is true, accurate, and complete.

Jukay Hsu
Signature of CEO, Chair, or representative granted legal authority to bind the Entity
Date 12/09/2021

Print name and title: Jukay Hsu CEO

Sworn to me this: 9th day of December

Holly L Shaw
Signature of Notary Public or Commissioner of Deeds

Electronic Notary Public
Holly L Shaw
REGISTRATION NUMBER 7934895
COMMISSION EXPIRES May 31, 2025

Notarized online using audio-video communication
2 of 4
Apprentice Training Program Registration Agreement

Revision □
Nature of Change: New Program

State Use Only
AT Sponsor No.
ATP Code
Effective Date of AT Program

1. Name of Sponsor: Pursuit Transformation Benefit Corporation

2. Mailing Address: 47-10 Austell Place, 2nd Floor
   Long Island City New York 11101 Queens
   (number & street) (city) (state) (zip code) (county)

3. Actual Address: 47-10 Austell Place, 2nd Floor
   Long Island City New York 11101 Queens
   (number & street) (city) (state) (zip code) (county)

4. Telephone No.: 347-841-6090
   Fax No.: _______

5. E-mail Address: [Redacted]

6. Trade/Occupation: Software Developer (90-562c)

7. No. Employees: 10
   No. Apprentices: 0
   No. Journeyworkers: 40
   Ratio: 1:1, 1:1

8. Length of Program: 12 months

9. DOT Code: 030.062-010

10. Apprentice Probationary Period: 3 months

11. Minimum Journeyworker Rate: $_________ per Hour
    Mock AT-401

12. Work process: Standard [□] or Revised [□]

13. Effective Date of Wages: 12/09/2021

14. Apprentice wage progression for each period – in months (M) or hours (H) Per Mock AT-401

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15. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.

17. Signature of Official Sponsor Representative: [Signature]
    Date: 12/09/2021

18. Signature of Union Representative: [Signature]
    Date: [ ]
    Print Name and Title: [ ]
    Print Name, Title, and Union Name: [ ]

19. Signature New York State Department of Labor: [Signature]
    Date: [ ]
    NYS Department of Labor
    Apprentice Training
    AT 10 (11/20)

Central Office
### Apprenticeship Agreement

**Name of Apprentice (Last, First, M.I.)**

Mock AT-401 Wage Progression

**Address of Apprentice (no. and street)**

City: Long Island City  
County: Queens County  
State: NY  
Zip code: 11101

**Name of Program Sponsor**

Pursuit Transformation Benefit Manager

**Physical address of Program Sponsor (no. and street)**

47-10 Austell Place, 2nd Floor

**Mailing address of Program Sponsor (no. and street)**

Foursquare, 50 W. 23rd Street

**City:** New York  
**County:** New York  
**State:** NY  
**Zip code:** 10010

**Trade:**

- [ ] Time-based  
- [ ] Competency-based  
- [ ] Hybrid

**Software Developer**

**Sex:**

- [ ] M  
- [ ] F

**Veteran:**

- [ ] Yes  
- [ ] No

**Home & Cell phone numbers:**

- [ ] E-mail address

**Birth date:**

**Has the apprentice received any Certificate of Completion from a State or Federal Apprenticeship Program?**

- [ ] Yes  
- [ ] No

**If "Yes," Trade:**

- [ ] State

**Related and Supplemental Instruction (RI) Provider(s) and location(s)**

Pursuit Transformation Benefit Corporation, Long Island City, NY

**RI Compensated:**

- [ ] Yes  
- [ ] No

**Start Date:**

**Length of program (Months) Competency:**

- [ ] 3

**DOL Apprentice Probation Period for Completion Rates (Months)**

- [ ] 3

**Minimum Journey-Worker Rate**

- [ ] $108,150

**Credit for previous training or experience:**

- [ ] Months  
- [ ] Points  
- [ ] Sections

- [ ] Reinstatement  
- [ ] Vocational Education  
- [ ] Transfer  
- [ ] Previous Experience

**Apprentice Wage Progression (Without Benefits) for each Period. Choose one:**

- [ ] Months  
- [ ] Hours  
- [ ] Points  
- [ ] Sections

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**$95,000.**  

**$96,425.**

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**The Sponsor and the Apprentice Agree to the Terms on Page 2 of this Form.**

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**Signature of Apprentice and Parent/Guardian if age 16-17**

**Date**

**Signature of Official Sponsor Representative**

**Date**

**State Use Only**

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<th>To ATC</th>
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**State Use Only**

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<th>To DLEA</th>
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**State Use Only**

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<th>Rank Verify</th>
<th>Data Entry</th>
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**THE DEPARTMENT OF LABOR MUST RECEIVE THIS AGREEMENT WITHIN 30 CALENDAR DAYS OF THE REQUESTED START DATE.**

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**II. Worksite Training Completion or Termination**

Check one:  

- [ ] Completed Worksite Training  
- [ ] Terminated for Cause  
- [ ] Quit  
- [ ] Layoff  
- [ ] Program Termination  
- [ ] Transfer

**Completion or Termination Date**

**Comments**

**Signature of Official Sponsor Representative**

**Date**

**Print Name**

---

**THE DEPARTMENT OF LABOR MUST RECEIVE THIS FORM WITHIN 30 CALENDAR DAYS OF THE COMPLETION/Termination DATE.**

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**III. RI Completion**

- [ ] Apprentice has satisfied the RI requirements. Completion date: ____________
- [ ] Apprentice has not satisfied the RI requirements.

**Signature of DLEA Representative**

**Date**

**Print Name**

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**STATE USE ONLY**

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**STATE USE ONLY**

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**AT 401 (11/20)**

**Must be returned within 30 days of receipt**
WE ARE YOUR DOL

Apprenticeship Training Program

Related Instruction Availability

Trade: Software Developer

Sponsor Name: Pursuit Transformation Benefit Corporation

Sponsor Representative: Timothy Asprec

Sponsor Address:
No. & Street: 47-10 Austell Place, 2nd Floor
City: Long Island City
County: Queens
State: NY
Zip Code: 11101

Sponsor Telephone No.: 908-642-4753
Proposed Number of Apprentices: 100

AT Office
Name: NYS Department of Labor Apprentice Training Program
No. & Street: 9 Bond Street, 4th Floor - Room 4570
City: Brooklyn
State: NY
Zip Code: 11201
Apprentice Training Representative: [Redacted]
Date Prepared: 3/15/22

☐ Related instruction is not available. ☐ Related instruction is available at:

School
Name: Pursuit Transformation Company Inc.
No. & Street: 47-10 Austell Place, 2nd Floor
City: Long Island City
State: NY
Zip Code: 11101

School Representative Contact Information:
Name: Timothy Asprec
Telephone No.: 908-642-4753
Email: [Redacted]

DLEA
Name: [Redacted]
No. & Street: [Redacted]
City: [Redacted]
State: [Redacted]
Zip Code: [Redacted]
Signature of DLEA: [Redacted]
Date Prepared: [Redacted]

NYS Department of Labor Apprentice Training Office

JUN 18 2022

AT 8 (4/19)
New York State Department of Labor

Apprentice Training Program Affirmative Action Plan

To be Administered by: Pursuit Transformation Benefit Corporation

Address:

47-10 Austell Place, 2nd Floor
Long Island City, NY 11101

Plan is Effective From: 12/09/2021 To: 12/08/2026

On behalf of the above named sponsor, I certify that it is our intent to fulfill this Affirmative Action Plan.

Signature of Sponsor:

Timothy Asprec
Title: Program Delivery Manager

Do not write below this line.

Approved by: NYS Department of Labor
Title:

NYS Department of Labor
Apprentice Training

AT 603 (11/20)
Part I – Equal Opportunity Standards

A. Provide a brief description of the nature and extent of the Sponsor’s business, the geographic area or jurisdiction where the business is performed, and the county or counties where the sponsor will recruit.

Pursuit Transformation Benefit Corporation looks to work with our graduates from Pursuit Transformation Company Inc., our Software Development workforce development training program to offer a variety of program models to meet the talent needs of employers and investors within the software engineering tech space. We will work closely with employer partners to build and integrate hiring models into existing processes which includes: 1. A three-year program model designated for continued technical and professional development, 3. Integration with existing internships or apprenticeship programs at partnered companies, and 3. Push for full-time hires into junior developer roles and promotional tracks.

Pursuit Transformation Benefit Corporation will be located at our headquarters at 47-10 Austell Place, 2nd Floor, Long Island City, NY, 11101.

B. Equal Opportunity Pledge
The sponsor recognizes that all qualified persons shall have equal opportunity in apprenticeship training, agrees that the commitments contained in the Affirmative Action Plan shall not be used for discriminatory purposes, and agrees to adhere to the following Equal Opportunity Pledge:

C. Affirmative Action Policy Statement /1
Attach a statement of the sponsor’s affirmative action policy. This statement must be the official policy available for public and internal distribution, be on sponsor letterhead and signed and dated by the Chief Executive Officer or the Chair of the Joint Apprenticeship Committee.
If responsibility for plan implementation has been delegated to other than the individual signing the Affirmative Action Policy Statement, that individual must be named in the Policy Statement.

D. Sexual Harassment Policy Statement /1
Attach a statement of the sponsor’s sexual harassment policy. This statement must be the official policy available for public and internal distribution, be on sponsor letterhead and signed and dated by the Chief Executive Officer or the Chair of the Joint Apprenticeship Committee.

/1 Sponsors needing assistance in developing an Affirmative Action and/or Sexual Harassment Policy Statement should contact the New York Department of Labor’s Division of Equal Opportunity Development.
Part II - Labor Force Analysis/Utilization Study

A. The total labor force is **231,800** in the following county(counties):

<table>
<thead>
<tr>
<th>New York County</th>
<th>Kings County</th>
<th>Bronx County</th>
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<tbody>
<tr>
<td>Richmond County</td>
<td>Queens County</td>
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</table>

The labor force includes: /1

<table>
<thead>
<tr>
<th>Minorities</th>
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<tbody>
<tr>
<td>African American</td>
<td>56,559</td>
<td>24.40%</td>
</tr>
<tr>
<td>Hispanic</td>
<td>67,222</td>
<td>29.0%</td>
</tr>
<tr>
<td>Other Minorities /2</td>
<td>32,452</td>
<td>14.</td>
</tr>
<tr>
<td>Total Minorities</td>
<td>156,233</td>
<td>67.4%</td>
</tr>
</tbody>
</table>

Women | 118,218 | 51% |

B. The total minority and women staffing goals of this program are the percentage of these groups in the labor force in the county (counties) of recruitment.

Goal for Total Minorities: 70%

Goal for Women: 20%

---

/Data on labor force is supplied by the New York State Department of Labor Research and Statistics Division, Bureau of Labor Market Information, State Office Bldg. Campus, Bldg. #12, Room 402, Albany, NY 12240, telephone: (518) 457-6657. /2 Other Minorities: Native Americans; Alaskan Natives; Pacific Islanders; Asians.
Part III – Current and Projected Staffing and Annual Goals

Title of Trade: Software Development

A. Current Staffing in the Above Trade

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>African American</th>
<th>Hispanic</th>
<th>Other Minority</th>
<th>Women</th>
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<tbody>
<tr>
<td>Active Journeyworkers</td>
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<td>Registered Apprentices</td>
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B. Projected Number of Apprentice Indentures /1

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<tr>
<th>Year</th>
<th>New Positions</th>
<th>Vacancies from Turnover /2</th>
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<tr>
<td>20</td>
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<td>25</td>
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<td></td>
<td>26</td>
<td>Totals</td>
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</table>

C. Annual Goals

Based on the data and projections above, the sponsor’s annual goals are to indenture minorities and women in apprentice programs as follows: /1

<table>
<thead>
<tr>
<th>Year</th>
<th>African American</th>
<th>Hispanic</th>
<th>Other Minority</th>
<th>Women</th>
<th>Total Indentures</th>
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<td>20</td>
<td>22</td>
<td>23</td>
<td>24</td>
<td>25</td>
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The sponsor's good faith commitment to following the Affirmative Action Plan. The sponsor understands that if the annual goals are not being met, it may be necessary to re-evaluate and change the Affirmative Action Plan in order to increase its effectiveness.

/1 Where no apprentice indentures are planned for a particular group or year, enter "0".

/2 Includes program graduates and non-graduates, (e.g. voluntary quits, dismissals prior to completion).
Part IV – Action Plans and Requirements

A. Outreach and Positive Recruitment Plan

Detail all the specific activities the sponsor will undertake to expand the opportunities for minority and female participation in the apprenticeship program. (Attach additional sheets if necessary.) The extent of outreach and recruitment activities may vary with the size and type of program and its resources. Refer to Equal Employment Opportunity in Apprenticeship Training Regulations Section 600.5 (c) for examples of outreach and positive recruitment.

Outreach and Recruitment Activities:

Recruitment Strategies

Government & Community-Based Organizations:
1) Human Resource Administration: A. SMS Campaign-to-Cash Assistance Recipients (biweekly), B. Social Media Posting via. Twitter, C. Mailer Campaign to cash assistance recipients (in development)
2) Department of Labor: A. Monthly Job/Career Resource Fairs, B. Job Bank Postings
3) NYCHA(Tech 51): A. Monthly Virtual Information Sessions, B. Application Support Sessions
4) Workforce 1: A. Flyering and community partner presentations
5) Goodwill: A. Bridge to Technology program in development
6) NPower: A. Quarterly event with NPower alumni and Pursuit Fellows
7) Bottomline: A. Quarterly event with Bottomline alumni and Pursuit Fellows
8) YearUp: A. Resource sharing among alumni community
9) Urban Resource Institute: A. Resource sharing among community members
10) Bronx Community College: A. $5000 Grant running to February 2022, focus is to recruit 2 to 3 BCC students/alumni to the Fellowship
11) Queens Public Library: A. Resource Fairs, B. Bridget to Tech Program
12) Brooklyn Public Library: Resource Fairs
13) Partners that distribute our information: NADAP, Kota Alliance, LaGuardia Tech Hire, Youth Action Youth Build, Henry Street Settlement, Urban Upbound, Elmhurst Community Partnership, Neighborhood Housing Services of Queens, Justice Through Code, America Works of NY, LESEN, Institute of Career Development, ACE, Restore NYC, Bridge From School to Work, Eckerd Connects, Braven, Grace Institute, Cypress Hills, CAMBA, Queens College, East Bronx Academy, Here to Here, iMentor, EDSI: Career Compass, Borough of Manhattan CC, Queensborough CC, NYC Employment + Training Coalition

Direct Entry Provider(s): (See https://www.labor.ny.gov/apprenticeship/direct-entry.shtm.)
Part IV – Action Plans and Requirements (continued)

B. Recruitment

It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):

☑ 1. Requesting the NYS Department of Labor’s approval to conduct an area-wide public recruitment in accordance with the Department Regulations on Equal Employment Opportunity in Apprenticeship Training (Part 600).

An area-wide public recruitment will publicize the following information:

a. Estimated number of apprentice job openings to be filled.
b. Eligibility requirements.
c. Where and when applications may be obtained.
d. When applications are to be submitted.
e. Affirmative Action policy of the sponsor.

☐ 2. Listing all apprentice openings including minimum qualifications and selection standards with the NYS Job Bank (www.newyork.us.jobs/) for a minimum of five full working days before any selections are made.

☐ 3. Limiting recruitment to present employees of the sponsor and/or present members of the union sponsoring the apprenticeship program. Employees must have been hired and/or union members have been admitted without discrimination based on race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status or arrest record. Sponsors are encouraged to list all resulting vacancies with the NYS Job Bank (www.newyork.us.jobs/).

☐ 4. Recruiting apprentices by methods other than those in B 1, 2, or 3 above. A detailed statement of the recruitment method to be used must be attached to be submitted to the Commissioner of Labor for review and approval prior to being used. /1

C. Methods for Selection of Apprentices

Selection of apprentices will be made under one of the following four methods. (Check One):

☐ 1. Selection on basis of rank from a candidate list (only available for area-wide public recruitment). Composed of those eligible applicants who meet the minimum qualifications and complete the selection process.

a. When this method is used; (1) the qualifications of each eligible applicant will be evaluated and scored on each of the selection standards used; (2) the scores will be added to obtain a total score for each applicant; (3) each applicant who completes the evaluation process will be placed on a list of candidates for apprenticeship in order of rank based on the total score. Seniority of employment and/or seniority of union membership may be one of the selection standards.
b. The list of candidates will remain valid for a minimum period of two years, or until the list is exhausted.
c. At least 10 days prior to the time when each eligible applicant is first required to demonstrate his/her qualifications, each eligible applicant will be notified in writing of the qualifications on which he/she will be evaluated, the time and place for submitting evidence of qualifications, and the time and place for testing and/or interview.

NYS Department of Labor
Apprentice Training

JUN 22, 2022

Central Office

/1 A sponsor using this method of recruitment should contact their Apprentice Training Representative for technical assistance.
Part IV – Action Plans and Requirements (continued)

C. Methods for Selection of Apprentices (continued)

☐ 2. Selection on basis of rank from a candidate list (available for non area-wide public
recruitments). Composed of those eligible applicants who meet the minimum
qualifications and complete the selection process.
   a. When this method is used, the applicants will be evaluated and ranked on the basis of
   predetermined minimum qualifications and selection standards. These qualifications and standards
   are to be included in all notices regarding apprentice openings.
   b. The list of candidates will remain valid for a minimum period of two months or until the list is
   exhausted, unless otherwise specified by the collective bargaining agreement. /1

☐ 3. Selection on a random basis. From a candidate list composed of applicants who meet the
minimum qualifications and complete the selection process.
   a. The method of random selection shall be subject to approval by the Commissioner of Labor.
   b. Supervision of the random selection process shall be by an impartial person or persons, selected by
   the sponsor, not associated with the administration of the apprenticeship program.
   c. The expected time and place of the selection shall be indicated in the recruitment notice.
   d. The place of the selection shall be open for all applicants and the public.
   e. The names of candidates drawn by this method shall be placed on a list of candidates for
   apprenticeship in the order drawn.
   f. The list of candidates will remain valid for a minimum period of two (2) years, or until it is exhausted.

☒ 4. Alternative selection methods. /2

If apprentices are to be selected by other methods than in C 1, 2 or 3 above, a detailed
statement of the selection method to be used must be attached and submitted to the
Commissioner of Labor for review and approval prior to being used.

D. Minimum Selection Standards and Evaluation.

It is agreed that the minimum qualifications and selection standards utilized will be those listed on Form AT 505,
Apprentice Training Recruitment Notification and Minimum Qualifications, and/or on Form AT 508, Selection Standards
and Evaluations, attached.

NYS Department of Labor
Apprentice Training

JUN 22 2022

Central Office

/1 Sponsors are advised to keep all applications for a minimum of one year.

/2 A sponsor using this method of selection should contact their Apprentice Training Representative for technical
assistance.