



New York State Registered Apprenticeship Training Program Sponsor Information Sheet and Instructions

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

Section I

- A. Sponsor name: RED-Rochester, LLC
B. Trade(s): Plant Maintenance Electrician, Stationary Engineer, Pipefitter, Plant Maintenance-Millwright
C. Type of Apprenticeship Training Program (check one): 1. [x] Individual Non-Joint 2. [] Individual Joint 3. [] Group Non-Joint* 4. [] Group Joint (JAC/JATC)*
D. Name of entity completing this form: RED-Rochester, LLC
E. Entity completing this form (check one): [x] Individual Employer/Sponsor [] Union [] JAC/JATC [] Association
F. Mailing address: Street: 1200 Ridgeway Ave. Suite 2121
City/Town: Rochester State: NY Zip Code: 14615
G. Email: H. Phone: (585) 865-4278 I. Fax:
J. Federal Employer Identification Number (FEIN):
K. NYS Unemployment Insurance Employer Registration (ER) Number:
L. Is this entity required to report any employee wages under this FEIN to the NYS Department of Tax and Finance? [x] Yes [] No
M. Type of Entity (check one and provide attachments as noted in the instructions): [] Corporation [] Partnership [] Sole-Proprietor [x] LLC [] LLP [] Other
N. How many years has your organization been in business? 9
O. Within the past five (5) years, have you done business under a different name? [] Yes [x] No
P. If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity, any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program? [x] Yes [] No

Section II

Complete all questions, (1 - 10), in this section and provide attachments as noted in the instructions.

Within the past five (5) years, has your organization, any substantially owned-affiliated entity, any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been the subject of:

- 1. Any conviction for a crime under state or federal law? [] Yes [x] No
2. Any indictment or pending indictment for conduct constituting a crime under state or federal law? [] Yes [x] No
3. Any grant of immunity for conduct constituting a crime under state or federal law? [] Yes [x] No

** For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.

4. Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification for any bid in any state or municipality, or a voluntary exclusion agreement?..... Yes No
5. Any federal, state, or municipal debarments, including Workers' Compensation or Public Work?..... Yes No
6. Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division?..... Yes No
7. a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation?..... Yes No
b. Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? Yes No
8. a. Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the Division of Safety and Health, or the Division of Labor Standards?..... Yes No
b. If 'Yes', was the violation determined to be willful?..... Yes No
9. Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of Human Rights, federal or state courts, or local Civil Rights Commissions?..... Yes No
10. Any stipulations, settlement, consent order, or like agreement involving any state, municipal, or federal enforcement action (judicial or regulatory) other than those covered above?..... Yes No

After completing Sections I and II, you must sign Section III, and have it notarized.

Section III

Certification – I, the undersigned, recognize that I submit this questionnaire to permit the New York State Department of Labor to review the background of the applicant, sponsor, union, or signatory employers and association(s) serving as a member of the JAC/JATC or other governing body at the time of new program application, during program probation, at recertification, or as otherwise deemed appropriate by the Department.

I certify:

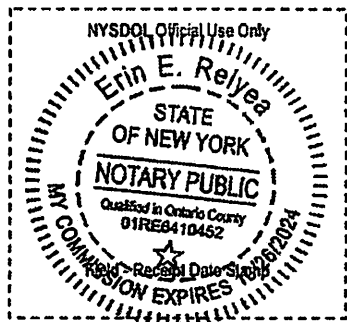
- That the Department may use its sole discretion to choose the means to determine the truth and accuracy of all statements made herein.
- That intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL § 210.35), and may be punishable by a fine of up to \$1,000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1)).
- That the information submitted in this questionnaire and any attachments is true, accurate, and complete.

The undersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, signatory, or union participating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the sponsor's application request or program. Signing this document constitutes permission to release this information (including UI information) concerning the entity completing this form to the program sponsor.

Bernard M. Nee, Jr. 6/15/2022
Signature of CEO, Chair, or representative granted legal authority to bind the Entity Date

Print name and title: Bernard M. Nee, Jr. Chief Technical Officer

Sworn to me this: 15th day of June [Signature]
Signature of Notary Public or Commissioner of Deeds



Received
Apprenticeship Unit
SEP 26 2022
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Apprentice Training Program Registration Agreement

Revision

Nature of Change: New Program Application
w/ Revised Work Process Training Outline

State Use Only	
AT Sponsor No.	<u>78110</u>
ATP Code	<u>69-419</u>
Effective Date of AT Program	

- 1. Name of Sponsor: RED - Rochester, LLC
- 2. Mailing Address: 1200 Ridgeway Ave, Suite 2121 Rochester NY 14615 Monroe
(number & street) (city) (state) (zip code) (county)
- 3. Actual Address: Same as above
(number & street) (city) (state) (zip code) (county)
- 4. Telephone No.: 585-865-4278 Ext. _____ Fax No.: _____
- 5. E-mail Address: [REDACTED]
- 6. Trade/Occupation: Plant Maintenance - Millwright
- 7. No. Employees: 122 No. Apprentices: 0 No. Journeyworkers: _____ 8. Ratio: 1:1;1:1
- 9. DOT Code: 638.281-018 10. Length of Program: 48 months
- 11. Apprentice Probationary Period: 12 months 12. Work process: Standard or Revised
- 13. Minimum Journeyworker Rate: \$ 30.00 per hour 14. Effective Date of Wages: 9/23/2022

15. Apprentice wage progression for each period – in months (M) or hours (H)

1	2	3	4	5	6	7	8	9	10
M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>
H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>
6	6	6	6	6	6	6	6		
\$25.50	\$26.00	\$26.50	\$27.00	\$27.50	\$28.00	\$28.50	\$29.00		

- 16. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.
- 17. Sue Campbell 9/23/22
Signature of Official Sponsor Representative Date
Sue Campbell - Training Supervisor/Safety Coordinator
Print Name and Title
- 18. _____
Signature of Union Representative Date
Print Name, Title, and Union Name

- 19. _____
Signature New York State Department of Labor Date



Apprenticeship Training Program

Related Instruction Availability

Trade: Plant Maintenance - Millwright

Sponsor Name: RED - Rochester, LLC

Sponsor Representative: Sue Campbell - Training Supervisor

Sponsor Address:

No. & Street: 1200 Ridgeway Ave, Suite 2121

City: Rochester

County: Monroe

State: NY

Zip Code: 14615

Sponsor Telephone No.: 585-865-4278

Proposed Number of Apprentices: 1

AT Office

Name: NYSDOL - Apprenticeship Training Unit

No. & Street: 276 Waring Road

City: Rochester

State: NY

Zip Code: 14609

Apprentice Training Representative: [Redacted]

Date Prepared: 8/8/19

Related instruction is not available.

Related instruction is available at:

School

Name: Monroe Community College - Applied Technology Ctr

No. & Street: 1000 East Henrietta

City: Rochester

State: NY

Zip Code: 14623

School Representative Contact Information:

Name: Dale Pearce

Telephone No.: 585-685-6118

Email: dpearce4@monroecc.ec

School

Name: Penn Foster (Online)

No. & Street: http://www.workforcedevelopment.com/apprenticeship.html

City: _____

State: _____

Zip Code: _____

School Representative Contact Information:

Name: Harold Ayers

Telephone No.: 800-672-9377

Email: [Redacted]

DLEA

Name: Paul V. Burke - Office of Adult & Continuing Education Rochester City School District

No. & Street: 30 Hart Street

City: Rochester

State: NY

Zip Code: 14605

Signature of DLEA _____

Date Prepared: _____



Apprentice Training Recruitment Notification and Minimum Qualifications

Sponsor: RED - Rochester, LLC

Located at: (Address) 1200 Ridgeway Ave, Suite 2121, Rochester, NY 14615

Is presently accepting applications for Apprenticeship Training Positions: List estimated number of openings: 1

In the occupation of: (List Trade) Plant Maintenance - Millwright

If you are interested in taking advantage of this training opportunity and meet the following qualifications, you are eligible to apply.

Minimum Qualifications High School Diploma or High School Equivalency Diploma (such as TASC or GED). Minimum Age: 18 Minimum Education:

Physical Condition: Be physically able to perform the work required as determined by:

an applicant's verbal statement. Must submit to drug testing which is required prior to employment within this program and may be administered at any time during the apprenticeship. The test will be paid for by the sponsor.

(Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally, any testing fees and permitted application fees charged to an applicant may not result in a profit for the sponsor.)

Other: Must show evidence of successful completion of: one year of high school algebra, or one post high school algebra course with a passing grade after selection and prior to enrollment. Must be able to climb and work from ladders and scaffolds of various lengths and heights.

Other: Must be able to crawl and work in confined spaces such as attics, manholes, and crawl spaces. Must be able to lift and carry weights in excess of 50 lbs. for extended time periods. Must be able to stand, sit, squat, and/or bend repeatedly for prolonged periods of time.

Other: Must be willing and able to attend classes, at the approved Related Classroom Instruction provider, as a component of the apprenticeship program. Must have reliable transportation to and from work and school. Must have a valid NYS Drivers license, as required to operate company vehicles.

Application forms may be obtained: From: To:

Name: RED-Rochester LLC

Address: 1200 Ridgeway Ave, Suite 2121 Rochester, NY 14615

Days: Monday - Friday Times: 9:00am - 3:00pm

Phone: (585) 865-4278 Email:

Special Instructions:

All Applications Must be (please check) [] Received [] Postmarked No Later Than:



Selection Standards and Evaluations

Name of Candidate: _____ Trade: Plant Maintenance - Millwright

Address: _____ City: _____ State: _____ Zip: _____

Only those checked apply.		Maximum Points Allowable	Number of Years Credited	Score
Educational Achievement		Total	20	Total
<input checked="" type="checkbox"/> <u>2</u>	Points for Each Year of Education Past Grade _____ or Equivalent as Recognized by Local Educational Authorities	8		
<input checked="" type="checkbox"/> <u>2</u>	Points for Each Year of Related Technical Education Past Grade _____ or Equivalent as Recognized by Local Educational Authorities	8		
<input checked="" type="checkbox"/> <u>1</u>	Points for Each Trade Related Adult or Continuing Education Course Completed	4		
<input type="checkbox"/> _____	Other: _____			
Work Experience		Total	16	Total
<input checked="" type="checkbox"/> <u>2</u>	Points for Each Year of Trade Related Work Experience	8		
<input checked="" type="checkbox"/> <u>2</u>	Points for Each Year of Active Military Experience	8		
<input type="checkbox"/> _____	Points for Each Year of General Work Experience			
<input type="checkbox"/> _____	Other: _____			
Seniority		Total	4	Total
<input checked="" type="checkbox"/> <u>1</u>	Points for Each Year of Employment with The Sponsoring Firm	4		
<input type="checkbox"/> _____	Other: _____			
Job Aptitude		Total		Total
<input type="checkbox"/> _____	Name of Aptitude Test: _____			
	Administered by _____			
<input type="checkbox"/> _____	Other: _____			
Oral Interview: Not to Exceed 40% of Total Score		Total	20	Total
<input checked="" type="checkbox"/> <u>1</u>	Ability to Communicate	5		
<input checked="" type="checkbox"/> <u>1</u>	Willingness to Accept Obligation of Apprenticeship	5		
<input checked="" type="checkbox"/> <u>1</u>	Ability to Reason and Comprehend	5		
<input checked="" type="checkbox"/> <u>1</u>	Interest and Motivation	5		
<input type="checkbox"/> _____	Other: _____			
<input type="checkbox"/> _____	Other: _____			

Total Allowable Points →

60	Total Score →	
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Rank _____

Evaluated by: _____ (Name) Date: _____

Sponsor Name: RED - Rochester, LLC

Sponsor Address: 1200 Ridgeway Ave, Suite 2121, Rochester, NY 14615

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Apprenticeship Unit

SEP 26 2022

Non-Discrimination Plan (Short Form)

ROCHESTER

A. Equal Opportunity Pledge: Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. Sexual Harassment Prevention Policy: Our policy is that sexual harassment is prohibited. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- Submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an apprentice, the program's apprenticeship administrator and the NYS Apprenticeship Director must be notified of the complaint.

C. Minimum Qualifications and Selection Standards: It is agreed that the minimum qualifications and selection standards utilized will be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form AT 508, Selection Standards and Evaluations, on file with the Department.

D. Recruitment: It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):

- Listing all apprentice openings with the NYS Job Bank (www.newyork.us.jobs/) for a minimum of five full working days before selections are made.
- Limiting recruitment to present employees of the sponsor and/or union members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Job Bank (www.newyork.us.jobs/).
- Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used.

On behalf of the sponsor, I certify that it is our intent to fulfill these Equal Opportunity Standards.

Signature of Sponsor: _____

The above signature must be the employer's Chief Executive Officer or the Chair of the Joint Apprenticeship Committee or their authorized representative.

09-20-2022

Date

Robin Farrell

Human Resources Manager

Print Name and Title

Approved by: _____

New York State Department of Labor

Date

Sponsor Name RED - Rochester, LLC

Sponsor Code 78110

No. of Apprentices 2,1,1,0

Trade(s) PM - Electrician, Stationary Engineer, Pipefitter

Trade Code(s) 67-372, 56-214, 13-176, 69-419

pm - MILLWRIGHT