

| NYSDOL Use Only: | Sponsor No |
|-------------------|---|
| □ New Program □ F | Reactivation □ Revision □ Recertification |

4 J.B. 65

New York State Registered Apprenticeship Training Program

Sponsor Information Sheet and Instructions

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

| 01 | · | i Denimina Approduce | ni el ces Trebig |
|---------------|---|-------------------------|---------------------|
| Sect | uon i | | |
| A. R | Trade(s): Please see attached list | DEC 0 5 | r ∠`` ८4 |
| C. | Type of Apprenticeship Training Program (check one): 1. ☐ Individual Non-Joint 2. ☐ Individual Joint 3. ☐ Group Non-Joint* 4. ☐ Group Joint (JA | Central (| |
| | or sponsors of group programs only (3 and 4) – See instructions for signatory list submission infor | mation. | |
| D. | Name of entity completing this form: MVHS Inc. | | |
| E. | Entity completing this form (check one): | | |
| | ☐ Individual Employer/Sponsor ☐ Union ☐ JAC/JATC ☐ Association | | |
| | ☐ Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing I | body | |
| F. | Mailing address: Street: 111 Hospital Drive | | |
| | City/Town: Utica State: NY Zip Code: 1 | 3502 | |
| G. | Email: H. Phone: (315) 914-1522 I. Fax: | | _ |
| J. | Federal Employer Identification Number (FEIN) | | |
| K. | NYS Unemployment Insurance Employer Registration (ER) Number: | | |
| | Is this entity required to report any employee wages under this FEIN to the NYS Department | Yes | □No |
| M. | Type of Entity (check one and provide attachments as noted in the instructions): ☑ Corporation ☐ Partnership ☐ Sole-Proprietor ☐ LLC ☐ LLP ☐ Other | | |
| N. | How many years has your organization been in business? 100 | , | |
| Ο. | Within the past five (5) years, have you done business under a different name? | ☑ Yes | □No |
| P. | If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program? If 'Yes', provide attachments as noted in the instructions. | □ Yes | И No |
| Secti Comp | ion II lete all questions, (1 – 10), in this section and provide attachments as noted in the instructions. | | |
| prede | the past five (5) years, has your organization, any substantially owned-affiliated entity,** any cessor company or entity, any owner of 10% or more of the entity's shares, any director, any any partner, or any proprietor been the subject of: | _ | - |
| 1. | Any conviction for a crime under state or federal law? | ∐ Yes | Ø No |
| 2. | Any indictment or pending indictment for conduct constituting a crime under state or federal law? | | No No |
| 3. | Any grant of immunity for conduct constituting a crime under state or federal law? | ⊔ Yes | 🗹 No |

^{**} For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.

| 4. | Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification | | / |
|--------------------|--|-------------------------|--------------|
| | for any bid in any state or municipality, or a voluntary exclusion agreement? | .□ Yes | Ø,No |
| 5 . | Any federal, state, or municipal debarments, including Workers' Compensation or Public Work? | . 🗌 Yes | ☑ No |
| 6. | Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division? | | ☑ No |
| 7. | a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation? | | Z No |
| 8. | Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the | | No |
| | Division of Safety and Health, or the Division of Labor Standards? | □ Yes | No. |
| | b. If 'Yes', was the violation determined to be willful? | ☐ Yes | |
| 9. | Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of | | 2 110 |
| | Human Rights, federal or state courts, or local Civil Rights Commissions? | ☐ Yes | ☑ No |
| 10 | Any stipulations, settlement, consent order, or like agreement involving any state, municipal, or | | |
| | federal enforcement action (judicial or regulatory) other than those covered above? | .□ Yes | ☑ No |
| | After completing Sections I and II, you must sign Section III, and have it no | | |
| Secti | ion III | | |
| Depar servin | ication – I, the undersigned, recognize that I submit this questionnaire to permit the New York State trent of Labor to review the background of the applicant, sponsor, union, or signatory employers are as a member of the JAC/JATC or other governing body at the time of new program application, dution, at recertification, or as otherwise deemed appropriate by the Department. | nd associa | |
| I cert | tifv: | | |
| | That the Department may use its sole discretion to choose the means to determine the truth a of all statements made herein. | ind accura | асу |
| | That intentional submission of false or misleading information may constitute a Class A misde under Penal Law (PL § 210.35), and may be punishable by a fine of up to \$1,000 (PL § 80.05 imprisonment of up to one year (PL § 70.15(1)). | meanor (1)) and/o | r |
| | • That the information submitted in this questionnaire and any attachments is true, accurate, an | d complet | e. |
| oartici applica | ndersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, signating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the ation request or program. Signing this document constitutes permission to release this information (ation) concerning the entity completing this form to the program sponsor. | he sponso (including | r's |
| Signat | ture of CEO, Chair, or representative granted legal authority to bind the Entity Da | te | |
| Print n | name and title: Kimberly Gent , Work Force Development Partner | | |
| | to me this: 22 nd day of Octob 202 y | - (D I | |
| | Signature of Notary Fublic or Commissione | r of Deeds | 5 |
| | JOY LYNN1_OMAZZO NOTARY PUBLIC, STATE OF NEW YORK Registration No. 01_LO6408237 Qualified in Oneitla County Commission Expires August 17, 2029 Field - Receipt Date Stamp | | |
| | · · · · · · · · · · · · · · · · · · · | | |

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Apprentice Training Program Registration Agreement

| Revision | | | | | | | | State | Use Only | |
|---|------------------|-------------------------|---------------|--------------|---------------|--------------|---|--------------|-------------|----------|
| Nature of Change: | New Pr | ogram App | olication | | | | AT Sp | onsor No. | | |
| | : | | | | | | ATP C | ode 63-4 | 18 | |
| | | | | | | | Effecti | ve Date | | |
| | | | | | | | 2 - CO 2 | Program | | |
| Name of Spor | nsor: MVHS | Inc. | | | | | | | | |
| Mailing Addre | ss: <u>111 H</u> | ospital D | rive Uti | ca | | NY | 13502 | 0 | neida | |
| | | r & street) | | (city) | | (state) | (zi | p code) | (county) | |
| Actual Addres | | as Above r & street) | | (city) | | (state) | (zi | p code) | (county) | |
| 4. Telephone No | o.: (315) 914 | 1-1522 | | Ext. | | Fax No.: | | | | |
| 5. E-mail Addres | ss: | | | | | | | | | |
| 6. Trade/Occupa | ation: Plant | Maintenance | e - Plumber | and Steamf | itter | | | | | |
| 7. No. Employee | | | | | | rkers: 1 | 8. F | Ratio: 1:1,1 | :1 | |
| 9. DOT Code: 8 | | | | | | | | | | |
| (CONTO) | | | | | | | | | | |
| Apprentice Pr Minimum Jour | obalionary i | 24 24 | 368 | Hour | _ 12. | factive Dete | of Magazi | 0 LV 1 | 0/22/2024 | |
| 13. Wilnimum Jour | neyworker | Rate: \$ <u>≠+</u> | e O | Tioui | _ 14. EII | lective Date | or wages. | | 0/22/2024 | |
| 15. Apprentice wa | ge progress | sion for each | n period – in | months (M |) or hours (I | H) | | | | |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| Months (check): | M 🗹 | м 🗆 | M 🗹 | M 🗸 | M 🗹 | м 🗆 | М | М 🗆 | М 🗆 | м 🗆 |
| Hours (check): | н□ | H | H D | H. 🗆 r | н□ |) H 🗆 | н 🗆 | н□ | н 🗆 | н 🗆 |
| No. of Months/Hours: | 12 | 24 ut | 36 | 126 | 60 | | | | | |
| age rate: <i>or,</i> percentage f the journeyworker rate: | 21.75 | 22.40 | 23.05 | 23.71 | 24.36 | | | | | |
| γ | | I | | | | | | | | |
| 16. The sponsor | igrees to co | mply with th | e provisions | on this side | e and on the | e reverse of | this agreer | nent. | | |
| | 1 | (8) | 1 | 0/22/20 | 1 18 0 | | 22 | | 10 | 2/22/2 |
| Signature of C | Date | Si Si | gnature of l | Jnion Repre | esentative | Da | ite | | | |
| Kim Gent, SR | | | ner | | | Intho | ny | De12: | 20 | |
| | Print Name | e and Title | | | | Print Na | ıme, Y itle, a | ina Union N | iame | |
| 19 | | | | | | | nent of Lab | | D.1 | |
| | Signature Ne | w York Stat | e Departme | ent of Labor | | Apprentic | e Training | | Date Pag | e 1 of 2 |
| AT 10 (07/24) | | | | | | DECO | 5 2024 | | i ay | 0 1 01 2 |

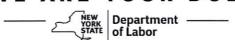
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Sponsor Code_____ Trade Code_63-418

Related Instruction Availability

| Trade: Plant Maintenance-Plumber and Steamfitter | | | |
|--|---------------------|----------------------------|--|
| Sponsor Name: MVHS Inc. | | | |
| Sponsor Representative: Kimberly Gent | | | |
| Sponsor Address: | | | |
| | City: | Utica | |
| County: Oneida | State: NY | Utica Zip Code: 13502 | |
| Sponsor Telephone No.: (315) 914-1522 | | | |
| | | | |
| AT Office | | | |
| Name: Central | | | |
| No. & Street: 450 S. Salina Street Room 203 | | | |
| | | Zip Code: 13202 | <u> </u> |
| Apprentice Training Representative: Kerry High | ers | Date Prepared: 1 | 0/15/24 |
| Related instruction is not available. | Related instruction | on is available at: | NYS Department of Labor Apprentice Training |
| School | | | DEC 0 5 2024 |
| Name: Mohawk Valley Community College | | | |
| No. & Street: 1101 Sherman Drive | | | Central Office |
| City: Utica | State: NY | Zip Code: 13501 | |
| School Representative Contact Information: | | | |
| Name: Franca Armstrong | | | |
| Telephone No.: (315) 792-7670 | Email: | | |
| School | | | |
| Name: Oneida Herkimer Madison BOCES | | | |
| No. & Street: 4747 Middle Settlement Road | | | |
| City: New Hartford | State: NY | Zip Code: 13413 | |
| School Representative Contact Information: | | Re | ceived |
| Name: | | 0.01 | 9.5.50 |
| Telephone No.: (315) 793-8500 | Email: | | Z-0-2014 |
| DLEA | | D.E.W.S | Syracuse NY |
| Name: Brenda Wolak | | | |
| No. & Street: 4937 Spring Road | | | |
| City: Verona | State: NY | Zip Code: 1347 | 8 |
| Signature of DLEA | | Date Prepared: 10/25/2 | 24 |



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Apprentice Training Recruitment Notification and Minimum Qualifications

| Sponsor: MVHS Inc. | |
|---|---|
| Located at: (Address) 111 Hospital Drive Utica, NY 13502 | * |
| Is presently accepting applications for Apprenticeship Training Positions: List estimated number of | of openings: |
| In the occupation of: (List Trade) Plant Maintenance-Plumber and Steamfitter | |
| · · · · · · · · · · · · · · · · · · · | |
| If you are interested in taking advantage of this training opportunity and meet the followin eligible to apply. | g qualifications, you are |
| Minimum Qualifications HSD | |
| Minimum Age: 18 Minimum Education: | |
| Physical Condition: Be physically able to perform the work required as determined by: | |
| Regular and routine exposure to a variety of unpleasant physical working conditions. Frequent exposure to injury or occupational or contagious disease hazards which to inclement or extreme weather is possible. Determined through Pre-Employment screening Requires moderate physical effort on a regular basis as in frequent standing, walking, bending, pushing, pulling, stooping or reaching and/or occasional lifting (i.e., up Concentration needed to assimilate information given from many sources at once. Ability to see, hear, smell, read, write, hear and speak. | |
| (Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally application fees charged to an applicant may not result in a profit for the sponsor.) | , any testing fees and permitted |
| Other: Pre Employment Health Screen paid for by the sponsor | |
| Other: Drug Screen paid for by the sponsor | Received |
| | NOV 26 2024 |
| Other: Background check paid for by the sponsor | |
| | D.E.W.S Syracuse NY |
| Application forms may be obtained: From: 10/23/2024 To: 10/23/2026 | |
| Name: MVHS Inc. | NYS Department of Labo Apprentice Training |
| Address: 111 Hospital Drive Utica, NY 13502 | DEC 0.5 000; |
| Days: Monday - Friday Times: 8 AM - 4 PM | |
| Phone: (315) 914-1500 Email | Central Office |
| Special Instructions: | |
| Please Place "ATT Kimberly Gent" in the subject line. | |
| | |
| All Applications Must be (please check) | 10/22/2026 |

DCT 2 4 2024

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| Sponsor Code | |
|---------------|--------|
| Trade Code(s) | 63-418 |
| | |

D.E.W.S Syracuse NY

Selection Standards and Evaluations

| Name of Candidate: | Trad | le: <u>Plant</u> | Maintenan | <u>ce-Plumbei</u> | r and Stea | amfil |
|---|----------------|------------------|--------------------------------|--------------------------------|------------|-------|
| Address: | _ City: | | Sta | te: Zi | p: | |
| Only those checked apply. Educational Achievement | | | Maximum Points Allowable | Number of Years Credited | Score | |
| | or T | Γotal | 16 | | | Total |
| | | | 4 | | | |
| Points for Each Year of Related Technical Education I or Equivalent as Recognized by Local Educational Au | Past Grade 10 | | 4 | | | |
| Points for Each Trade Related Adult or Continuing Edition Completed | ucation Course | | 8 | | | |
| Other: | | | | | | |
| Work Experience | Т | Γotal | 24 | | | Total |
| ✓ 4 Points for Each Year of Trade Related Work Experience | | | 12 | | | 11.5 |
| ✓ 4 Points for Each Year of Active Military Experience | | | 8 | | | 1 |
| ✓ 2 Points for Each Year of General Work Experience | | | 4 | | | 1 |
| Other: | | | | | | 1 |
| | | | | | | |
| Seniority | т | Γotal | 15 | | | Total |
| ✓ 5 Points for Each Year of Employment with The Sponsoring | Firm | | 15 | | | |
| Other: | | | | _ | | |
| Job Aptitude | Т | Γotal | | | | Total |
| Name of Aptitude Test: | | | | | | |
| Administered by | | | | | | - |
| Other: | | <u> </u> | | | l | _ |
| Oral Interview: Not to Exceed 40% of Total S | Score 7 | Γotal | 20 | | | Total |
| ✓ 1-5 Ability to Communicate | | | 5 | | | |
| ✓ 1-5 Willingness to Accept Obligation of Apprenticeship | | | 5 | | | - |
| ✓ 1-5 Ability to Reason and Comprehend | | | 5 | | | - |
| ✓ 1-5 Interest and Motivation | | | | | | - |
| Other: | | | | | | - |
| Other: | | 8 | | | | _ |
| Total Allov | wable Points - | > | 75 | Total Score → | | |
| | | | Rank | | | |
| Evaluated by:(Name) | | | Date: | | X. | |
| Sponsor Name: MVHS Inc. | | | NYS Dana | through - 5 | | |
| | | | Appren | lice Training | 001 | |
| Sponsor Address: 111 Hospital Drive Utica, NY 13502 | | | DEC | 0 5 2024 | | |

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Non-Discrimination Plan (Short Form)

A. **Equal Opportunity Pledge:** Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. Sexual Harassment Prevention Policy: Our policy is that sexual harassment is prohibited. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite, whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- Submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an **apprentice**, the program's apprenticeship administrator and the NYS Apprenticeship Director **must** be notified of the complaint.

C. **Minimum Qualifications and Selection Standards:** It is agreed that the minimum qualifications and selection standards utilized will be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form AT 508, Selection Standards and Evaluations, on file with the Department.

Received

CCT 2 3 2024

NYS Department of Labor Approxime Training

DEC 0 5 2824

Central Office

Page 1 of 2

| D. | Recrui | itment: It is a | greed that the sponsor | will recruit applicants for | apprenticeship by (Check Or | 1e): |
|--------|--------------------------------|--|--|--|--|--------------|
| | | minimum of f | ive full working days be uitment to present emp | efore selections are mad loyees of the sponsor an | s://newyork.usnlx.com) for a e. id/or union members of the un s will be listed with the NYS Jo | nion ob |
| | | | ne apprenticeship prog /newyork.usnlx.com). | ram. Resulting vacancies | 5 Will be listed with the 1410 St | OD |
| | | Recruiting an | prentices by methods | other than those above. ed and approved by the | A detailed statement of the Commissioner of Labor prior t | 0 |
| E. | will und prograi vary wi | dertake to exp m. (Attach add ith the size an nticeship Train | and the opportunities f ditional sheets if neces d type of program and | for minority and female p sary.) The extent of outro its resources. Refer to E | Il the specific activities the spearticipation in the apprentices each and recruitment activities qual Employment Opportunity of outreach and positive | hip s may |
| | Outrea | ach and Recr | uitment Activities: | | | |
| | Create | d a program f | or Local High Schools | to apply to the program | | |
| | Work v | with the Local | refugee center , offer a | assistance when needed | | |
| | | | | Y State, to hire employe | | |
| | | - | | working with staff with ba | arriers | |
| | MVHS | is an Equal C | pportunity Employer | | | |
| On be | | · | er(s): (See https://dol.r | nt to fulfill these Egual O | oportunity Standards. | |
| | | - V | 1/(| | | |
| Signat | ure of S | ponsor: | | malayada Chiad Evacudiya Officer the | 10/18/24 Chair Date | |
| | | | of the Joint Apprenticeship Co | employer's Chief Executive Officer, the ommittee or their authorized represents | tive. | |
| Name | Kimbe | rly Gent | | Title: Community | Workforce Development Part | iner ——— |
| Appro | ved by: | | | | | |
| | · | | New York State | te Department of Labor | Date | |
| Spons | or Name | e: MVHS Inc. | | | | |
| | | | | Number of Apprenti | ces: | |
| Trade | s): Plea | ase See Attacl | ned List | _ | | |
| 11440 | .0, | | | | | |
| Trade | Code(s) | : Please See | Attached List | | • | |
| | | | | Received | | |
| AT 602 | (07/24) | • | | COT 9.8.2024 | Page 2 of 2 | |