

| NYSDOL Use Only: | Sponsor No | |
|-------------------|-------------------------|-------------------|
| ☑ New Program ☐ F | Reactivation Revision | ☐ Recertification |

New York State

Registered Apprenticeship Training Program

NYS Department of Labor

Sponsor Information Sheet and Instructions Prentice Training

NOV $0.8\,$ 2023 Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

| | Central Office | |
|----------------|--|-------------|
| Sect | ion I | |
| A. | Sponsor name: Michael A Polce Trade(s): Network Administrator, Network Engineer, Project Manager, Security Analyst, Systems Engineer | |
| | Type of Apprenticeship Training Program (check one): | |
| О. | 1. Individual Non-Joint 2. Individual Joint 3. Group Non-Joint* 4. Group Joint (JAC/JATC) | * |
| | or sponsors of group programs only (3 and 4) - See instructions for signatory list submission information. | • |
| D. | Name of entity completing this form: M.A. Polce Consulting Inc. | |
| E. | Entity completing this form (check one): | |
| | ✓ Individual Employer/Sponsor ☐ Union ☐ JAC/JATC ☐ Association | |
| | ☐ Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing body | |
| F. | Mailing address: Street: 401 Phoenix Drive | |
| | City/Town: Rome State: NY Zip Code: 13441 | |
| G. | Email: H. Phone: (315) 338-0388 I. Fax: (315) 356-0597 | |
| J. | Federal Employer Identification Number (FEIN): | |
| K. | NYS Unemployment Insurance Employer Registration (ER) Number: | |
| L. | Is this entity required to report any employee wages under this FEIN to the NYS Department | |
| | of Tax and Finance? | □ No |
| M. | Type of Entity (check one and provide attachments as noted in the instructions): | |
| | ☑ Corporation ☐ Partnership ☐ Sole-Proprietor ☐ LLC ☐ LLP ☐ Other | |
| | How many years has your organization been in business? 25 | |
| О. | Within the past five (5) years, have you done business under a different name? | ☑ No |
| P. | If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered | _ |
| | Apprenticeship Program? | ☐ No |
| Secti Compl | on II lete all questions, (1 – 10), in this section and provide attachments as noted in the instructions. | |
| - | the past five (5) years, has your organization, any substantially owned-affiliated entity,** any | |
| predec | cessor company or entity, any owner of 10% or more of the entity's shares, any director, any, any partner, or any proprietor been the subject of: | |
| | Any conviction for a crime under state or federal law? | ✓ No |
| 2. | Any indictment or pending indictment for conduct constituting a crime under state or federal law? Yes | ✓ No |
| 3. | Any grant of immunity for conduct constituting a crime under state or federal law? | ✓ No |

AT 9 (09/21)

^{**} For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.

| 4. | contract or subcontra | rejection, or disapproval by any governmental entity of an act for lack of responsibility, or denial or revocation of pre- | qualification | |
|---------------------|--|---|---|-------------|
| | | te or municipality, or a voluntary exclusion agreement? | | ☑ No |
| 5. | | municipal debarments, including Workers' Compensation | | ☑ No |
| 6. | federal law or regulat | investigation of a possible violation, or determination of a tion including, but not limited to, investigations by the Nati | onal Labor Relations | _ |
| | | United States Department of Labor (USDOL) Wage and | | ☑ No |
| 7. | * · · · • | pen Occupational Safety and Health Administration (OSH | | ☑ No |
| • | b. Any OSHA citation | on that resulted in a final determination classified as seriou | us, willful, or repeat? L Yes | ✓ No |
| 8. | New York State Is | pen investigation of a possible violation, or determination aw or regulation, any other state law or regulation, or any ng, but not limited to, investigations by the Bureau of Pub | municipal law or | |
| | | and Health, or the Division of Labor Standards? | | ☑ No |
| | | iolation determined to be willful? | | □ No |
| 9. | | aims, or lawsuits before the US Equal Employment Oppo | | |
| ٥. | (EEOC), USDOL Offi | ce of Federal Contract Compliance Program (OFCCP), N | YS Division of | |
| | Human Rights, federa | al or state courts, or local Civil Rights Commissions? | 🗆 Yes | ✓ No |
| 10. | | ement, consent order, or like agreement involving any sta | | |
| | federal enforcement | action (judicial or regulatory) other than those covered a | above? Yes | ✓ No |
| | After completing | ng Sections I and II, you must sign Section III | , and have it notarized. | |
| Secti | on III | | | |
| Depart serving | ment of Labor to revie g as a member of the . | igned, recognize that I submit this questionnaire to permit by the background of the applicant, sponsor, union, or sign JAC/JATC or other governing body at the time of new pro- or as otherwise deemed appropriate by the Department. | natory employers and associa | |
| • | | or as otherwise deemed appropriate by the Department. | | |
| l certi | • | | | |
| • | of all statements | | | асу |
| • | under Penal Lav | submission of false or misleading information may constity (PL \S 210.35), and may be punishable by a fine of up to up to one year (PL \S 70.15(1)). | | r |
| • | That the informa | tion submitted in this questionnaire and any attachments | is true, accurate, and complet | te. |
| particip applica | pating in a Joint Appre ation request or progra | that any adverse information uncovered regarding any apprinticeship Committee, or other sponsoring association, maxim. Signing this document constitutes permission to releasentity completing this form to the program sponsor. | y adversely affect the sponso se this information (including | r's |
| | wichoul a. | Tola | 09/18/2023 | |
| Signati | ure of CEO, Chair, or i | representative granted legal authority to bind the Entity | Date | |
| Print na | ame and title: Michael | A. Polce, President & CEO | | |
| Sworn | to me this: 18th da | y of Spotember Michelle | A Serus | |
| , | | <i>,</i> | olic or Commissioner of Deeds | |
| ! | NYSDOL Official Use Only | | | |
| | | | NYS Department of | Labor |
| ! | | MICHELLE D. SENUS Notary Public - State of New York | Apprentice Train | ning |
| | | No. 01SE6238685 Qualified in Oneida County My Commission Expires April 11, 2015 | NOV 0 8 2023 | 3. |
| | Field - Receipt Date Stamp | | Central Office | <u> </u> |



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Apprentice Training Program Registration Agreement

| Re | vision [| V | | | | | | | | | State | Use Only | |
|---|-----------|------------------|-------------------|-------------|--------------|--------------|-----------|-------------------|-----------------|-----------------|-----------|------------------------|--|
| Nature of Change: New program application | | | | | | | AT Spons | | | | | | |
| Change of Approach red | | | | | | | | At Sponsor No. | | | | | |
| | | | | | | | | ATP Code 90 - 574 | | | | | |
| | | | | | | | | | | of AT Pro | | | |
| 1. | Name of | Sponso | r: <u>M.A. Po</u> | olce Consu | ulting, Inc. | 8 | | | | | | | |
| 2. | Mailing A | ddress: | 401 Phoe | enix Drive | R | ome | | | NY | 13441 | | Oneida | |
| | J | | (number | & street) | | (city) | | | (state) | (zip | code) | (county) | |
| 3. | Actual Ac | dress: | Same as r | mailing. | | | | | | | | | |
| | | | (number | & street) | | (city) | | | (state) | (zip | code) | (county) | |
| 4. | Telephon | ie No.: <u>(</u> | 315) 338-0 | 0388 | | 1 | Ext | Fax | No.: <u>(31</u> | (315) 356-0597 | | | |
| 5. | E-mail Ad | ddress: | | | | | | | | | | | |
| 6. | Trade/Oc | cupatio | n: Network | k Engineer | | | | | | | | | |
| 7. | No. Empl | oyees: | 44 | No. Appr | entices: 0 |) | No. Jou | rneyworker | s: <u>4</u> | 8. R | atio: 🔼 | انار. | |
| 9. | DOT Cod | e: | | | | | | 10. Lengt | th of Prog | gram: <u>12</u> | | months | |
| 11. | Apprentic | e Proba | itionary Pe | eriod: 3 m | onths | | 1 | 2. Work p | process: | Standard | or | Revised | |
| 13. | Minimum | Journey | /worker Ra | ate: \$ 57 |) DU p | er <i>Ye</i> | ar | 14. Effect | ive Date | of Wages: | 9 | 129/23 | |
| | | | | | | - | | | | | | | |
| 15. | 101 | | progression | | 2000 | | | | _ | | | | |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | | | |
| | MA | M | м□ | м 🗆 | м□ | м□ | м | м 🗆 | м | м 🗆 | N | YS Department of Labor | |
| | H [| н 🗔 | н 🗆 | н 🗆 | н 🗆 | н | н | н 🗆 | н 🗆 | н | | Apprentice Training | |
| | 45,000 | 47,0 | ςυ | | | | | | | | | NOV 0 8 2023 | |
| | 4-7- | | | • | • | | | | | | | Central Office | |
| 16. | The spon | sor agre | es to com | ply with th | ne provisio | ons on this | s side ar | d on the re | verse of t | his agreem | nent. | Onice | |
| 17. | Am | nda | Cala | DULL | e | 9/29 | 123. | 8. | | | | | |
| | Signature | of Offic | ial Sponso | or Repres | entative | Date | | Signa | ture of U | nion Repre | sentative | Date | |
| | Amanda (| | se - Talent | | on Specia | list | | | 51 | | | | |
| | | Pr | int Name | and litle | | | | | Print Nar | ne, Title, ar | nd Union | Name | |
| 19. | | | | | | | | | | | | | |
| | | Sian | ature New | York Sta | te Departi | ment of La | abor | | - | | - | Date | |

Sponsor Code______ Trade Code_90-574

Apprenticeship Training Program

Related Instruction Availability

| Trade: Network Engineer | | |
|---|---------------------|---------------------------|
| Sponsor Name: M.A. Polce Consulting, Inc. | | |
| Sponsor Representative: Amanda Calabrese | | |
| Sponsor Address: | | |
| No. & Street: 401 Phoenix Drive | City: | Rome |
| No. & Street: 401 Phoenix Drive County: Oneida | State: <u>NY</u> | Zip Code: 13441 |
| Sponsor Telephone No.: 315-338-0388 | | |
| Proposed Number of Apprentices: | | <u></u> |
| AT Office | | |
| Name: Central Region | | |
| No. & Street: 450 S. Salina Street, Room 203 | | |
| City: Syracuse | State: NY | Zip Code: 13202 |
| Apprentice Training Representative: | | Date Prepared: 10/20/23 |
| Related instruction is not available. | Related instruction | n is available at: |
| School | | |
| Name: Mohawk Valley Community College | | |
| No. & Street: 1101 Sherman Drive | | |
| City: Utica | State: NY | Zin Code: 13501 |
| School Representative Contact Information: | Otato | |
| Name: | | |
| Telephone No.: 315-792-5400 | | |
| School | | |
| Name: | | |
| No. & Street: | | |
| City: | | Zin Code: |
| School Representative Contact Information: | | NYS Department of Labor |
| Name: | | Apprentice Training |
| Telephone No.: | | No. |
| DLEA | | |
| Name: Brenda Wolak | | Central Office |
| No. & Street: 4937 Spring Rd | | |
| City: Verona | State: NY | Zip Code: 13478 |
| Signature of DLEA | · | Date Prepared: 11/6/23 |
| g • •. = . | | |



Sponsor Code: 70 -574

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Apprentice Training Recruitment Notification and Minimum Qualifications

| Sponsor: M.A. Polce Consulting, Inc. | | |
|---|--|--|
| Located at: (Address) 401 Phoenix Drive Ro | ome, NY 13441 | |
| Is presently accepting applications for Apprenticeshing the occupation of: (List Trade) Network Engine | p Training Positions: List estim | ated number of openings: |
| If you are interested in taking advantage of this teligible to apply. | raining opportunity and mee | t the following qualifications, you are |
| Minimum Qualifications Minimum Age: 21 Minimum Education: | | chelor's Degree preferred. Will not with pratical knowledge. |
| Physical Condition: Be physically able to perform the Please refer to the job description. | e work required as determined | by: |
| (Note: Costs for medical examination, if required, are application fees charged to an applicant may not res | e at the expense of the sponsorult in a profit for the sponsor.) | r. Additionally, any testing fees and permitted |
| Other: Must be authorized to work in the | United States. | |
| Other: Must have a vailid driver's license | and reliable method of | transportation. |
| ^{Other:} Must be able to pass a backgrour | nd check. | NYS Department of Labor Apprentice Training |
| | | NOV 0 8 2023 |
| Application forms may be obtained: From: | To: | Central Office |
| Address: 401 Phoenix Drive Rome, NY 1 | 3441 | |
| Days: Monday - Friday | Times: 8:00 a.m | 4:00 p.m. |
| Phone: (315) 338-0388 | Email: | |
| Special Instructions: All open positions are listed on our wel application process. | osite and candidates ca | an follow the instructions for the |
| All Applications Must be (please check) Recei | ved Postmarked No | Later Than: |



| Sponsor Code | |
|---------------|--------|
| Trade Code(s) | 90-574 |
| | |

Selection Standards and Evaluations

| Name of Candidate: | Tra | ade: <u>Netv</u> | vork Engine | er | | |
|--|---|------------------|--------------------------------|--------------------------------|------------------------|---|
| Address: | | | | | p: | |
| Only those checked apply. Educational Achievement Points for Each Year of Education Past Grade Lequivalent as Recognized by Local Educational Achievement Points for Each Year of Related Technical Education or Equivalent as Recognized by Local Educational Points for Each Trade Related Adult or Continuin Completed Other: | Authorities Ition Past Grade al Authorities Ig Education Course | Total | Maximum Points Allowable | Number of Years Credited | Score | Total |
| Work Experience 3 Points for Each Year of Trade Related Work Experience Points for Each Year of Active Military Experience Points for Each Year of General Work Experience Other: | ence | Total | 10 | | | Total |
| Seniority Points for Each Year of Employment with The Spons Other: | | Total | | | | Total |
| Job Aptitude | | Total | | | | Total |
| Oral Interview: Not to Exceed 40% of Tot Ability to Communicate Willingness to Accept Obligation of Apprenticeship Ability to Reason and Comprehend Interest and Motivation Other: Other: | | Total | 15 4 4 3 4 | | | Total |
| Total A | Allowable Points | \rightarrow | | Total Score → | 46 | |
| Evaluated by: | | | Rank _ Date: | NYS D Apı | epartmen Prentise T | t of Labor raini ng 023 |
| Sponsor Address: 401 Phoenix Drive T 508 (3/22) | Rome, NY | 1344 | | C | entral Off F | ice Page 1 of 2 |

AT 508 (3/22)



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Non-Discrimination Plan (Short Form)

A. Equal Opportunity Pledge: Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. Sexual Harassment Prevention Policy: Our policy is that sexual harassment is prohibited. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- Submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an apprentice, the program's apprenticeship administrator and the NYS Apprenticeship Director must be notified of the complaint.

- C. Minimum Qualifications and Selection Standards: It is agreed that the minimum qualifications and selection standards utilized will be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form AT 508, Selection Standards and Evaluations, on file with the Department.
- D. Recruitment: It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One): Listing all apprentice openings with the NYS Job Bank (https://newyork.usnlx.com) for a minimum of five full working days before selections are made. v Limiting recruitment to present employees of the sponsor and/or union members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Job Bank (https://newyork.usnlx.com). Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used. On behalf of the sponsor, I certify that it is our intent to fulfill these Equal Opportunity Standards. The above signature must be the employer's Chief Executive Officer or the Chair of the Joint Apprenticeship Committee or their authorized representative. Approved by: . New York State Department of Labor Date Sponsor Name MA Polce Consulting Inc Sponsor Code No. of Apprentices Trade(s) Network Admin, Network Engineer, Proj. Manager Trade Code(s) 90 - 576,

Systems EngineerNYS Dopartment coppairs 15

Security Analyst,

AT 602 (12/21)

NOV 0 8 2023

Apprentice Training