



New York State

Registered Apprenticeship Training Program

MAR 08 2022

Sponsor Information Sheet and Instructions

Central Office

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

Section I

A. Sponsor name: CLINTON COUNTY CHAPTER NYSARC, INC. dba ADVOCACY AND RESOURCE CENTER

B. Trade(s): DIRECT SUPPORT PROFESSIONAL SUPERVISOR

C. Type of Apprenticeship Training Program (check one):

- 1. [checked] Individual Non-Joint 2. [] Individual Joint 3. [] Group Non-Joint* 4. [] Group Joint (JAC/JATC)*

*For sponsors of group programs only (3 and 4) - See instructions for signatory list submission information.

D. Name of entity completing this form: Same as above

E. Entity completing this form (check one):

- [checked] Individual Employer/Sponsor [] Union [] JAC/JATC [] Association

[] Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing body

F. Mailing address: Street: 231 NEW YORK ROAD

City/Town: PLATTSBURGH State: NY Zip Code: 12903

G. Email: [redacted] H. Phone: (518) 324-8176 I. Fax: (518) 562-0085

J. Federal Employer Identification Number (FEIN): [redacted]

K. NYS Unemployment Insurance Employer Registration (ER) Number: [redacted]

L. Is this entity required to report any employee wages under this FEIN to the NYS Department of Tax and Finance? [checked] Yes [] No

M. Type of Entity (check one and provide attachments as noted in the instructions):

- [checked] Corporation [] Partnership [] Sole-Proprietor [] LLC [] LLP [] Other

N. How many years has your organization been in business? 68

O. Within the past five (5) years, have you done business under a different name? [checked] Yes [] No
If 'Yes', provide attachments as noted in the instructions.

P. If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity, any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program? [] Yes [checked] No
If 'Yes', provide attachments as noted in the instructions.

Section II

Complete all questions, (1 - 10), in this section and provide attachments as noted in the instructions.

Within the past five (5) years, has your organization, any substantially owned-affiliated entity, any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been the subject of:

- 1. Any conviction for a crime under state or federal law? [] Yes [checked] No
2. Any indictment or pending indictment for conduct constituting a crime under state or federal law? [] Yes [checked] No
3. Any grant of immunity for conduct constituting a crime under state or federal law? [] Yes [checked] No

** For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.

4. Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification for any bid in any state or municipality, or a voluntary exclusion agreement?..... Yes No
5. Any federal, state, or municipal debarments, including Workers' Compensation or Public Work?..... Yes No
6. Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division?..... Yes No
7. a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation?..... Yes No
 b. Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? Yes No
8. a. Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the Division of Safety and Health, or the Division of Labor Standards?..... Yes No
 b. If 'Yes', was the violation determined to be willful?..... Yes No
9. Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of Human Rights, federal or state courts, or local Civil Rights Commissions?..... Yes No
10. Any stipulations, settlement, consent order, or like agreement involving any state, municipal, or federal enforcement action (judicial or regulatory) **other than those covered above**?..... Yes No

After completing Sections I and II, you must sign Section III, and have it notarized.

Section III

Certification – I, the undersigned, recognize that I submit this questionnaire to permit the New York State Department of Labor to review the background of the applicant, sponsor, union, or signatory employers and association(s) serving as a member of the JAC/JATC or other governing body at the time of new program application, during program probation, at recertification, or as otherwise deemed appropriate by the Department.

I certify:

- That the Department may use its sole discretion to choose the means to determine the truth and accuracy of all statements made herein.
- That intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL § 210.35), and may be punishable by a fine of up to \$1,000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1)).
- That the information submitted in this questionnaire and any attachments is true, accurate, and complete.

The undersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, signatory, or union participating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the sponsor's application request or program. Signing this document constitutes permission to release this information (including UI information) concerning the entity completing this form to the program sponsor.

Robin A. Pierce _____ 2/8/2022 _____
 Signature of CEO, Chair, or representative granted legal authority to bind the Entity Date

Print name and title: Robin A. Pierce, Executive Director _____

Sworn to me this: 8th day of February 2022 Carol A. Lashway _____
 Signature of Notary Public or Commissioner of Deeds



CAROL A. LASHWAY
 Notary Public, State of New York
 No. 01LA5019341
 Qualified in Clinton County
 Commission Expires October 18, 2025

NYS Department of Labor
 Apprentice Training
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 Central Office



Apprentice Training Program Registration Agreement

Revision

Nature of Change: New Program

State Use Only
AT Sponsor No.
ATP Code: <u>89-592</u>
Effective Date of AT Program

- 1. Name of Sponsor: CLINTON COUNTY CHAPTER NYSARC, INC dba ADVOCACY AND RESOURCE CENTER
- 2. Mailing Address: 231 NEW YORK ROAD PLATTSBURGH NY 12903 CLINTON
(number & street) (city) (state) (zip code) (county)
- 3. Actual Address: same as above
(number & street) (city) (state) (zip code) (county)
- 4. Telephone No.: 518-563-0930 Ext. _____ Fax No.: 518-562-0085
- 5. E-mail Address: [REDACTED]
- 6. Trade/Occupation: DIRECT SUPPORT PROFESSIONAL SUPERVISOR
- 7. No. Employees: 300 No. Apprentices: 0 No. Journeyworkers: 13 8. Ratio: 1:1;1:1
- 9. DOT Code: _____ 10. Length of Program: 12 months
- 11. Apprentice Probationary Period: 3 months 12. Work process: Standard or Revised
- 13. Minimum Journeyworker Rate: \$ 17.44 per hour 14. Effective Date of Wages: 2/1/2022

15. Apprentice wage progression for each period – in months (M) or hours (H)

1	2	3	4	5	6	7	8	9	10
M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>
H <input checked="" type="checkbox"/>	H <input checked="" type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>
<u>15.25</u>	<u>16.96</u>								

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- 16. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.
- 17. Robin A. Pierce 2/8/22 18. _____
Signature of Official Sponsor Representative Date Signature of Union Representative Date
- Robin A. Pierce, Executive Director _____
Print Name and Title Print Name, Title, and Union Name

19. _____
Signature New York State Department of Labor Date

WE ARE YOUR DOL



Apprenticeship Training Program

Sponsor Code _____

Trade Code 89-592

Related Instruction Availability

Trade: Direct Support Professional Supervisor

Sponsor Name: CLINTON COUNTY CHAPTER NYSARC, INC dba ADVOCACY AND RESOURCE CENTER

Sponsor Representative: _____

Sponsor Address:

No. & Street: 231 New York Road City: Plattsburgh

County: CLINTON State: NY Zip Code: 12803

Sponsor Telephone No.: 518-563-0930

Proposed Number of Apprentices: _____

AT Office

Name: NYS DOL Albany

No. & Street: W. Averell Harriman State Office Campus Building 12, Room 455/459

City: Albany State: NY Zip Code: 12240

Apprentice Training Representative: [Redacted] Date Prepared: 3/3/21

Related instruction is not available.

Related instruction is available at:

School

Name: FULTON MONTGOMERY COMMUNITY COLLEGE

No. & Street: 2805 STATE HIGHWAY 67

City: JOHNSTOWN State: NY Zip Code: 12095

School Representative Contact Information:

Name: CHRISTIE DAVIS

Telephone No.: 518-738-3622 ext. 8163 Email: [Redacted]

School

Name: _____

No. & Street: _____

City: _____ State: NY Zip Code: 12803

School Representative Contact Information:

Name: _____

Telephone No.: _____ Email: _____

DLEA

Name: [Redacted]

No. & Street: Fmcc-2805 State Highway 67

City: Johnstown State: NY Zip Code: 12095

Signature of DLEA [Redacted] Date Prepared: 2/15/22

AT 8 (4/19)

NYS Department of Labor
Apprentice Training

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New York State Department of Labor

Apprentice Training Recruitment Notification and Minimum Qualifications

Sponsor Code
Trade Code 89-592

CLINTON COUNTY CHAPTER NYSARC, INC dba ADVOCACY AND RESOURCE CENTER, located at
(Sponsor)
231 NEW YORK ROAD, PLATTSBURGH, NY 12903
(Address)

is presently accepting applications for an estimated
apprentice training positions in
(No. of Openings)

the occupation of DIRECT SUPPORT PROFESSIONAL Supervisor
(Trade)

If you are interested in taking advantage of this training opportunity and meet the following qualifications, you are eligible to apply.

Minimum Qualifications

Minimum Age: 18

Minimum Education: Must have a high school diploma or a high school equivalency diploma (such as TASC or GED).

Physical Condition: Be physically able to perform the work required as determined by
SEE ATTACHED JOB DESCRIPTION

(Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally, any testing fees and permitted application fees charged to an applicant may not result in a profit for the sponsor.)

Other:
6 months full-time or equivalent site-based agency experience, OPWDD SCIP certified, OPWDD Medication Administration certified, Adult CPR/AED certification
Must have a valid Drivers License to operate agency Vehicles

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Other:

Other:

Central Office

Application Forms May be Obtained From:

Dates: From: N/A To: N/A

Name: HUMAN RESOURCES DEPT

Days: MONDAY - FRIDAY

Address:
231 NEW YORK ROAD
PLATTSBURGH, NY 12903

Times: 8:00 AM - 4:30 PM

Phone Number: (518) 563 - 0930

Email Address: [Redacted]

Special Instructions:

All Applications Must be (please check) [] Received [] Postmarked no Later Than: N/A



Sponsor Code _____
Trade Code(s) 89-542

Selection Standards and Evaluations

Name of Candidate	Trade DIRECT SUPPORT PROFESSIONAL SUPERVISOR		
Address	City	State	Zip

Only those checked apply.	Maximum Points Allowable	Number of Years Credited	Score
Educational Achievement	Total 15		Total
<input checked="" type="checkbox"/> 3 Points for Each Year of Education Past Grade <u>12</u> or Equivalent as Recognized by Local Educational Authorities	6		
<input checked="" type="checkbox"/> 3 Points for Each Year of Related Technical Education Past Grade <u>12</u> or Equivalent as Recognized by Local Educational Authorities	6		
<input checked="" type="checkbox"/> 1 Points for Each Trade Related Adult or Continuing Education Course Completed	3		
<input type="checkbox"/> Other _____			
Work Experience	Total 20		Total
<input checked="" type="checkbox"/> 2 Points for Each Year of Trade Related Work Experience	12		
<input checked="" type="checkbox"/> 1 Points for Each Year of Active Military Experience	2		
<input type="checkbox"/> Points for Each Year of General Work Experience			
<input checked="" type="checkbox"/> 1 Other <u>UNPAID CARE EXPERIENCE</u>	6		
Seniority	Total 30		Total
<input checked="" type="checkbox"/> 2 Points for Each Year of Employment With The Sponsoring Firm	10		
<input checked="" type="checkbox"/> 1-20 Other <u>QUANTIFIED RELIABILITY based on Attendance record</u>	20		
Job Aptitude	Total		Total
<input type="checkbox"/> SATB (Specific Aptitude Test Battery) # _____ Points for High _____ Medium _____ Low _____			
<input type="checkbox"/> Name of Alternative Aptitude Test _____ Administered by _____			
<input type="checkbox"/> Other _____			
Oral Interview: Not to Exceed 40% of Total Score	Total 35		Total
<input checked="" type="checkbox"/> 1-10 Ability to Communicate	10		
<input checked="" type="checkbox"/> 1-5 Willingness to Accept Obligation of Apprenticeship	5		
<input checked="" type="checkbox"/> 1-5 Ability to Reason and Comprehend	5		
<input checked="" type="checkbox"/> 1-5 Interest and Motivation	5		
<input checked="" type="checkbox"/> 1-10 Other <u>SUPERVISORY SKILLS (6 MONTH EVALUATION)</u>	10		
<input type="checkbox"/> Other _____			

Total Allowable Points → 100 Total Score →

Rank _____

Evaluated by _____ (Name) Date _____

Sponsor Name CLINTON COUNTY CHAPTER NYSARC, INC dba ADVOCACY AND RESOURCE CENTER

Sponsor Address 231 NEW YORK ROAD, PLATTSBURGH, NY 12903

New York State Department of Labor
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Non-Discrimination Plan
(Short Form)

Central Office

A. **Equal Opportunity Pledge:** Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. **Sexual Harassment Prevention Policy:** Our policy is that sexual harassment is **prohibited**. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- Submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an **apprentice**, the program's apprenticeship administrator and the NYS Apprenticeship Director **must** be notified of the complaint.

C. **Minimum Qualifications and Selection Standards:** It is agreed that the minimum qualifications and selection standards utilized will be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form AT 508, Selection Standards and Evaluations, on file with the Department.

D. **Recruitment:** It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):

- Listing all apprentice openings with the NYS Job Bank (www.newyork.us.jobs/) for a minimum of five full working days before selections are made.
- Limiting recruitment to present employees of the sponsor and/or union members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Job Bank (www.newyork.us.jobs/).
- Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used.

On behalf of the sponsor, I certify that it is our intent to fulfill these Equal Opportunity Standards.

Signature of Sponsor: Robin A. Pierce 2/8/2022
Date

The above signature must be the employer's Chief Executive Officer or the Chair of the Joint Apprenticeship Committee or their authorized representative.

Robin A. Pierce, Executive Director
Print Name and Title

Approved by: _____
NYS Department of Labor Date

Sponsor Name CLINTON COUNTY CHAPTER NYSARC, INC Sponsor Code _____ No. of Apprentices _____

Trade(s) DIRECT SUPPORT PROFESSIONAL SUPERVISOR Trade Code(s) { 89-592