

NYSDOL Use Only: Sponsor No._____ ☑ New Program □ Reactivation □ Revision □ Recertification

New York State

NYS Department of Labor Apprentice Training

Registered Apprenticeship Training Program

Sponsor Information Sheet and Instructions JUL 2 6 2022

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered training Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this form.

Section I

| Seci | | |
|-----------------|--|------|
| Α. | Sponsor name: Avanti Control Systems, Inc. | |
| | Trade(s): INSTRUMENT TECHNICIAN | |
| С. | Type of Apprenticeship Training Program (check one): | |
| *5 | 1. Individual Non-Joint 2. Individual Joint 3. Group Non-Joint* 4. Group Joint (JAC/JATC |)* |
| | or sponsors of group programs only (3 and 4) – See instructions for signatory list submission information. Name of entity completing this form: <u>Avanti Control Systems</u> , Inc. | |
| | | |
| С. | Entity completing this form (check one): | |
| | | |
| _ | Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing body | |
| F. | Mailing address: Street: P.O. Box 113 | |
| _ | City/Town: Gloversville State: NY Zip Code: 12078 | |
| G. | Email:H. Phone: (518) 924-4368 I. Fax: (518) 921-4486 | |
| J. | Federal Employer Identification Number (FEIN): | |
| К. | NYS Unemployment Insurance Employer Registration (ER) Number: | |
| L. | | |
| | of Tax and Finance? | 🗌 No |
| M. | Type of Entity (check one and provide attachments as noted in the instructions): Corporation Partnership Sole-Proprietor LLC LLP Other | |
| Ν. | How many years has your organization been in business? <u>10</u> | |
| 0. | Within the past five (5) years, have you done business under a different name? | No 🗹 |
| P. | If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program? | 🛛 No |
| | ion II plete all questions, (1 – 10), in this section and provide attachments as noted in the instructions. | |
| prede office | n the past five (5) years, has your organization, any substantially owned-affiliated entity,** any cessor company or entity, any owner of 10% or more of the entity's shares, any director, any r, any partner, or any proprietor been the subject of: | |
| | Any conviction for a crime under state or federal law? | No 🗹 |
| | Any indictment or pending indictment for conduct constituting a crime under state or federal law? | No 🗹 |
| 3. | Any grant of immunity for conduct constituting a crime under state or federal law? | 🗹 No |

** For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.

| 4. | Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification | |
|-----|--|------|
| | for any bid in any state or municipality, or a voluntary exclusion agreement? | 🖌 No |
| 5. | Any federal, state, or municipal debarments, including Workers' Compensation or Public Work? 🗌 Yes | 🖌 No |
| 6. | Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations | |
| | Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division? | 🗹 No |
| 7. | a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation? 🗌 Yes | 🛛 No |
| | b. Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? | 🛛 No |
| 8. | a. Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the | |
| | Division of Safety and Health, or the Division of Labor Standards? | 🖉 No |
| | b. If 'Yes', was the violation determined to be willful? | 🖌 No |
| 9. | Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of | |
| | Human Rights, federal or state courts, or local Civil Rights Commissions? | 🛛 No |
| 10. | | |
| | federal enforcement action (judicial or regulatory) other than those covered above? | No |

After completing Sections I and II, you must sign Section III, and have it notarized.

Section III

Certification – I, the undersigned, recognize that I submit this questionnaire to permit the New York State Department of Labor to review the background of the applicant, sponsor, union, or signatory employers and association(s) serving as a member of the JAC/JATC or other governing body at the time of new program application, during program probation, at recertification, or as otherwise deemed appropriate by the Department.

I certify:

- That the Department may use its sole discretion to choose the means to determine the truth and accuracy of all statements made herein.
- That intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL § 210.35), and may be punishable by a fine of up to \$1,000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1)).
- That the information submitted in this questionnaire and any attachments is true, accurate, and complete.

The undersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, signatory, or union participating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the sponsor's application request or program. Signing this document constitutes permission to release this information (including UI information) concerning the entity completing this form to the program sponsor.

Signature of CEO, Chair, or representative granted legal authority to bind the Entity Print name and title: Timothy M. Tesiero 1aul Sworn to me this: day of Signature of Notary Public or Commissioner of Deeds NYSDOL Official Use Only NYS Department of Labor NYS Department of Labor RECEIVED Desiree Perham Apprentice Training MOTARY PUBLIC, STATE OF NEW YORK JUL 1 9 2022 ation No. 01PE6405191 Re JUL 2 6 2022 fified in Pelton County mion Expires March 2, 2024 Apprentice Training Albany Office **Central Office** ield - Receipt Date Stamp

AT 9 (09/21)

www.labor.ny.gov

Apprentice Training Program Registration Agreement

| F | Revisio | n | | | | | | | | St | tate U | se Only | |
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| . N | Name of S | Sponsor: | | | | stems, li Glovers | | NY | | 12078 | | ulton | Count |
| . N | Mailing A | ddress: | P.O. Bo | | <u> </u> | | viie | | (state) | | | | |
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| 3. | Minimun | n Journe | yworker F | Rate: \$ | 3 | per hour | 1 | 14. Effe | ctive Date | e of Wages; On | star | ting da | ite |
| 3. | Minimun | n Journe | yworker F | Rate: \$ | 3 | per hour | 1 | 14. Effe | ctive Date | of Wages: <u>On</u> | star | ting da | ite |
| 3. | Minimun Apprenti | n Journe ice wage | yworker F progress | Rate: \$ <u>28</u> | 3 ch perio | per <u>hour</u> | ns (M) or | 14. Effer hours (H) | ctive Date | e of Wages: <u>ON</u> | star | ting da | ite |
| 3. | Minimun Apprenti 1 | n Journe ice wage 2 | yworker F progress 3 | Rate: \$ <u>28</u> ion for ea 4 | 3 ch perio 5 | per <u>hour</u> d – in month 6 | ns (M) or 1 7 | 14. Effer hours (H) 8 | ctive Date | e of Wages: <u>on</u> 10 | star | ting da | ite |
| 3. | Minimun Apprenti 1 M H 0-2000 | n Journe ce wage 2 M H 2001-4000 | yworker F progress 3 M H 4001-6000 | Rate: \$ <u>2</u> ion for ea 4 M H = 0001-8000 | Ch perio | $\frac{\text{per } \text{hour}}{6}$ | ns (M) or 7 M [] | 14. Effer hours (H) 8 | Ctive Date | e of Wages: <u>on</u> 10 | star | ting da | ite |
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| 5. | Minimun Apprenti 1 H I 0-2000 20 | n Journe ce wage 2 M - H - 2001-4000 22 | yworker F progress 3 M H 4001-5000 24 | Rate: \$ <u>28</u> ion for ea 4 M H exort-secord 26 | 3 ch perio 5 M H | _ per <u>hour</u> nd – in month 6 M□ H□ | ns (M) or 7 М [] Н [] | 14. Effer hours (H) 8 M — H — | 9 M 🗍 H 🗌 | e of Wages: <u>on</u> 10 | star | ting da | ite |
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Central Office

| NEW YORK STATE OF OPPORTUNITY. | Department of Labor |
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Sponsor Code_____ Trade Code_56-096

Apprenticeship Training Program

Related Instruction Availability

| Trade: INSTRUMENT TECHNICIAN | | | |
|---|---------------------------------------|---------------------------|--|
| Sponsor Name: Avanti Control Systems, In | C | | |
| Sponsor Representative: Timothy Tesiero | · · · · · · · · · · · · · · · · · · · | | |
| Sponsor Address: | | | |
| No. & Street: | C | City: <u>Gloversville</u> | |
| County: | State: <u>NY</u> | Zip Code: | 078 |
| Sponsor Telephone No.: 518-921-4368 | | | |
| 4 | ···· | | |
| AT Office Name: <u>NYS DOL Albany</u> | | 1997, 1 | |
| No. & Street: W. Averell Harriman State Off | ce Campus Building 12, R | oom 455/459 | |
| | State: <u></u> | Zip Code: _ ¹ | 2240 |
| Apprentice Training Representative: Dar | iel Paris | Date Prepare | d: <u>3/25/19</u> |
| Related instruction is not available. School Name: Fulton-Montgomery Community Coll | | ction is available at: | |
| No. & Street: 2805 State Highway 67, | | | |
| City: | State: NY | Zip Code: 12 | 2095 |
| School Representative Contact Information | | | |
| Name: | Telephone No.: | Email: Ap | Department of Labor Prentice Training |
| School | | | _ |
| Name: | | | JUL 2 6 2022 |
| No. & Street: | | (| Sentral Office |
| City: | State: | | |
| School Representative Contact Information | | | |
| Name: | Telephone No.: | Email: | |
| DLEA | | | |
| Name: | | | |
| No. & Street: 2805 State Highway 67, | | | |
| City: Johnstown | State: <u>NY</u> | Zip Code: | 12095 |
| Signature of DLEA | | Date Prepared: 7/ | 19/22 |

Sponsor Code:

le: 56-096

www.labor.ny.gov

Apprentice Training Recruitment Notification and Minimum Qualifications

| Sponsor: Avanti Control Systems, Inc. | | |
|--|--|--|
| | t, Gloversville NY 12078 | |
| Is presently accepting applications for Apprenticeshi | ip Training Positions: List estimated number of openings: | |
| In the occupation of: (List Trade) INSTRUMENT | TECHNICIAN | |
| | | |
| eligible to apply. | training opportunity and meet the following qualification | s, you are |
| Minimum Qualifications | Must have a high school diploma or a high school | bl |
| Minimum Age: <u>18</u> Minimum Education: | equivalency diploma (such as TASC or GED). | |
| Physical Condition: Be physically able to perform the | e work required as determined by: | |
| | vork required as determined by a physical exament in apprenticeship, at the expense of the sp | • |
| (Note: Costs for medical examination, if required, an application fees charged to an applicant may not res | e at the expense of the sponsor. Additionally, any testing fee sult in a profit for the sponsor.) | es and permitted |
| Other: •Must have reliable means of transport school. | tation to and from work and required classes at the a | pproved |
| Other: | | |
| Other: | N | IYS Department of Labor Apprentice Training |
| | | JUL 2 6 2022 |
| Application forms may be obtained: From: | То: | Central Office |
| Address: 34 West Fulton Street Gloversv | /ille_NY 12078 | |
| Days: Monday - Friday | | |
| | IIMes | |
| | Email: | |
| Special Instructions: Due to COVID-19, Please email reque | ests for applications and appointments | |
| All Applications Must be (please check) | ived 🔲 Postmarked No Later Than: | |
| | | |
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Sponsor Code _____ Trade Code(s) <u>56-096</u>

- VORK VO

Selection Standards and Evaluations

| Idress: City: | | Sta | ate: Zij | | 364.4 [1 |
|--|---------------|--------------------------------|--------------------------------|--------------------|-----------------------|
| Only those checked apply. Educational Achievement | , | Maximum Points Allowable | Number of Years Credited | Score | eneral I |
| 5 Points for Each Year of Education Past Grade 12 or | Total | 30 | The second | Tota | al |
| Equivalent as Recognized by Local Educational Authorities | | 10 | i bissister s ri | No datelip caud | kaner i |
| Points for Each Year of Related Technical Education Past Grade <u>12</u> or Equivalent as Recognized by Local Educational Authorities | | 10 | | | |
| S Points for Each Trade Related Adult or Continuing Education Course Completed | | 10 | | Excel inc. | AberCa 20:012- |
| Other: | | L | intra constan | | 0000 |
| Nork Experience | Total | 25 | Maria | Tota | al |
| 5 Points for Each Year of Trade Related Work Experience | | 10 | | 1 | olan <mark>a</mark> I |
| Points for Each Year of Active Military Experience | | 10 | | CLUCKER, CONER- | 100.04 |
| Points for Each Year of General Work Experience | | 5 | | e de litre | s clob |
| Other: | | | | | |
| Seniority | Total | 5 | | Tota | al |
| Points for Each Year of Employment with The Sponsoring Firm | | 5 | | | d'read |
| Other: | | | | | mp |
| Job Aptitude | Total | | | Tota | al |
| Name of Aptitude Test: | | | | | |
| Administered by | 10,000 | - e u < 166 | | all files a series | 0.000 |
| Cther | | | Second Bergerstramenter | | |
| Oral Interview: Not to Exceed 40% of Total Score | Total | 40 | 行资料时代 | Tota | al |
| ✓ 0-10 Ability to Communicate | - | 10 | | Nemie 12,510 prime | 200 |
| ✓ 0-10 Willingness to Accept Obligation of Apprenticeship | | 10 | | | |
| O-10 Ability to Reason and Comprehend | | 10 | | | |
| 0-10 Interest and Motivation | 1.0 | 10 | | | |
| Other: | | | | | |
| Other: | | | | oft. | |
| Total Allowable Points | \rightarrow | 100 | Total Score → | 70.6 97 | |
| | | Rank | | NYS Departr | nent of I |
| valuated by: | | Date: | | Apprentic | e Traini |
| (Name) | | | 198.271 | JUL 2 | 6 2022 |
| ponsor Name: _Avanti Control Systems, Inc. | | | | | 0 2026 |
| ponsor Address: 34 West Fulton Strret, Gloversville, NY 12078 | | | | | |

NEW YORK STATE of Labor

www.labor.ny.gov

Non-Discrimination Plan (Short Form)

A. Equal Opportunity Pledge: Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. Sexual Harassment Prevention Policy: Our policy is that sexual harassment is prohibited. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- · Submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an **apprentice**, the program's apprenticeship administrator and the NYS Apprenticeship Director **must** be notified of the complaint.

- C. Minimum Qualifications and Selection Standards: It is agreed that the minimum qualifications and selection standards utilized will be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form AT 508, Selection Standards and Evaluations, on file with the Department.
- D. Recruitment: It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):
 - Listing all apprentice openings with the NYS Job Bank (<u>https://newyork.usnlx.com</u>) for a minimum of five full working days before selections are made.
 - Limiting recruitment to present employees of the sponsor and/or union members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Job Bank (<u>https://newyork.usnlx.com</u>).
 - Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used.

On behalf of the sponsor, I certify that it is our intent to fulfill these Equal Opportunity Standards.

| | ist be the employer's Chief Executive Officer or the ticeship Committee or their authorized representat | |
|---|---|---------------------|
| Timethy M. Tes | Print Name and Title | en.t. |
| Approved by: | w York State Department of Labor | Date |
| Sponsor Name Avanti Control Systems, Inc. | Sponsor Code | No. of Apprentice |
| Trade(s) INSTRUMENT TECHNICIAN | Trade Code(s) <u>56-096</u> | Apprentice Training |
| AT 602 (12/21) | | JUL 2 6 2022 |

Central Office

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