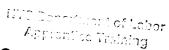


NYSDOL Use Only: Sponsor	No
New Program Reactivation	on □ Revision □ Recertification

New York State Registered Apprenticeship Training Program



Sponsor Information Sheet and Instructions

AUG 2 4 2023

Form AT 9 is used to collect data regarding sponsors and signatories for the New York State (NYS) Registered Apprenticeship Training Program. Please read the instructions on pages 3 and 4 before completing this term rail Office

Sect	ion I					
A.	Sponsor name: Helio Health Inc					
	Trade(s): Certified Recovery Peer Advocate					
C.	Type of Apprenticeship Training Program (check one):					
F/	1 Individual Non-Joint 2. Individual Joint 3. Group Non-Joint 4. Group Joint (JAC/JATC)* or sponsors of group programs only (3 and 4) − See instructions for signatory list submission information.					
	Name of entity completing this form: Helio Health, Inc.					
	Entity completing this form (check one):					
	☑ Individual Employer/Sponsor ☐ Union ☐ JAC/JATC ☐ Association					
	Employer/Signatory company serving on the JAC/JATC, Board of Directors, or other governing body					
F.	Mailing address: Street: 555 East Genesee Street					
	City/Town: Syracuse State: NY Zip Code: 13202					
G.	Email: H. Phone: 315-471-1564 x3393 Fax:					
J.	Federal Employer Identification Number (FEIN):					
K.	NYS Unemployment Insurance Employer Registration (ER) Number:					
L.	Is this entity required to report any employee wages under this FEIN to the NYS Department of Tax and Finance?)				
M.	Type of Entity (check one and provide attachments as noted in the instructions): Corporation Partnership Sole-Proprietor LLC LLP Other					
N.	How many years has your organization been in business? 103					
Ο.	Within the past five (5) years, have you done business under a different name?	1				
P.	If this is part of a new program application or if your entity is new to an existing program, within the past five (5) years, has your organization, any substantially owned-affiliated entity,** any predecessor company or entity, any owner of 10% or more of the entity's shares, any director, any officer, any partner, or any proprietor been a sponsor of, or signatory to, a NYS Registered Apprenticeship Program?)				
Sect Comp	ion II lete all questions, (1 – 10), in this section and provide attachments as noted in the instructions.					
prede	the past five (5) years, has your organization, any substantially owned-affiliated entity,** any cessor company or entity, any owner of 10% or more of the entity's shares, any director, any any partner, or any proprietor been the subject of:					
1.	Any conviction for a crime under state or federal law?					
2.	Any indictment or pending indictment for conduct constituting a crime under state or federal law? Yes					
3.	Any grant of immunity for conduct constituting a crime under state or federal law?	ı				

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^{**} For the definitions of a 'substantially owned-affiliated entity' see the end of Section I in the instructions.

4.	Any suspension, bid rejection, or disapproval by any governmental entity of any proposed contract or subcontract for lack of responsibility, or denial or revocation of pre-qualification	
	for any bid in any state or municipality, or a voluntary exclusion agreement?	✓ No
5.	Any federal, state, or municipal debarments, including Workers' Compensation or Public Work? Yes	☑ No
6.	Any pending or open investigation of a possible violation, or determination of a violation of any federal law or regulation including, but not limited to, investigations by the National Labor Relations Board (NLRB) or the United States Department of Labor (USDOL) Wage and Hour Division?	☑ No
7.	a. Any pending or open Occupational Safety and Health Administration (OSHA) investigation? Yes b. Any OSHA citation that resulted in a final determination classified as serious, willful, or repeat? Yes	☑ No
8.	 Any pending or open investigation of a possible violation, or determination of a violation of New York State law or regulation, any other state law or regulation, or any municipal law or regulation including, but not limited to, investigations by the Bureau of Public Work, the 	
	Division of Safety and Health, or the Division of Labor Standards? Yes	☑ No
	b. If 'Yes', was the violation determined to be willful?	☑ No
9.	Any investigations, claims, or lawsuits before the US Equal Employment Opportunity Commission (EEOC), USDOL Office of Federal Contract Compliance Program (OFCCP), NYS Division of Human Rights, federal or state courts, or local Civil Rights Commissions?	☑ No
10.		
	federal enforcement action (judicial or regulatory) other than those covered above?	☑ No
	After completing Sections I and II, you must sign Section III, and have it notarized.	ı
Secti	ion III	
Depar serving	ication – I, the undersigned, recognize that I submit this questionnaire to permit the New York State tment of Labor to review the background of the applicant, sponsor, union, or signatory employers and associate as a member of the JAC/JATC or other governing body at the time of new program application, during progetion, at recertification, or as otherwise deemed appropriate by the Department.	
I certi	ify:	
	 That the Department may use its sole discretion to choose the means to determine the truth and accurate of all statements made herein. 	асу
	 That intentional submission of false or misleading information may constitute a Class A misdemeanor under Penal Law (PL § 210.35), and may be punishable by a fine of up to \$1,000 (PL § 80.05(1)) and/or imprisonment of up to one year (PL § 70.15(1)). 	or
	That the information submitted in this questionnaire and any attachments is true, accurate, and comple	te.
artici _l pplica	ndersigned recognizes that any adverse information uncovered regarding any applicant, sponsor, signatory, of pating in a Joint Apprenticeship Committee, or other sponsoring association, may adversely affect the sponsor ation request or program. Signing this document constitutes permission to release this information (including lation) concerning the entity completing this form to the program sponsor.	or's
	Tradleen Holling Robb 7/20/2023	
	ture of CEO, Chair, or epresentative granted legal authority to bind the Entity Date	
Print n	name and title: Kathleen Gaffney-Babb, President & C.E.O.	
Sworn	signature of Notary Public or Commissioner of Deed	
	NYSDOL Official Use Only	
	KELLY VERNETTE RISTOFT Notary Public - State of New York NO. 02RI0001372 Qualified in Onondaga County My Commission Expires Mar 20, 2027	
	AUG 2 4 2023	
	Field - Receipt Date Stamp Central Office	

AT 9 (05/16)



												EM
	Revision					State Use Only						
Na	Nature of Change: New Program Application							AT Sponsor No.				
			-						-	ATP Code 8	0-61	3C
										Effective Date of AT Program		
										O A Flogra	П	
. Na	ame of	Sponsor:	Helio	Health	ij							
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				& street)		(city)	400	_	(state)	(zip co	de)	(county)
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5. A	pprenti	ce wage	progress	ion for ear	ch period	– in mont	ths (M) or	hours (H))			
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											A-I	epartment of Lak

AT 10 (11/20)

AUG 2 4 2023

Apprentice Training



Related Instruction Availability

Trade: Certified Recovery Peer Advocate			
Sponsor Name: Helio Health Inc.			
Sponsor Representative: Nathan Rauscher			
Sponsor Address:			
No. & Street: 555 East Genesee Street	City	: Syracuse	
County: Onondaga	State: <u>NY</u>	7: <u>Syracuse</u> Zip Code: <u>13202</u>	
Sponsor Telephone No.: 315-474-5506 Ext. 1225			
Proposed Number of Apprentices: 0			
AT Office			
Name: Central Region			
No. & Street: 450 S. Salina Street, Room 203			
City: Syracuse	State: NY	Zip Code: 13202	
Apprentice Training Representative:		Date Prepared: 5/10/23	
Related instruction is not available.	Related instruction	on is available at:	
School			
Name: Helio Health Inc			
No. & Street: 555 East Genesee Street			
City: Syracuse	State: NY	Zip Code: 13202	
School Representative Contact Information:			
Name: Lena May			
Telephone No.: 315-471-1564	Email:		
School			
Name:		NVO Dennet in long to AFMONDO Virginita	- ',
No. & Street:		APRIVATION DES	. IJ
City:	State:	Zip Code : AUG 2 4 2023	
School Representative Contact Information:			_
Name:		Central Office	
Telephone No.:			
DLEA			
Name:	- 		
No. & Street:			
City:			
Signature of DLEA			



Sponsor Code: 80-613C

RECEIVED DEC 1 0 00

Apprentice Training Recruitment Notification and Minimum Qualifications

Sponsor: Helio Health	JE NY
Located at: (Address) 555 East Genesee Street, Syracuse, NY 13202	
Is presently accepting applications for Apprenticeship Training Positions: List estimated number of	openings: 5
In the occupation of: (List Trade) Certified Recovery Peer Advocate	
If you are interested in taking advantage of this training opportunity and meet the following eligible to apply.	qualifications, you are
Minimum Qualifications Minimum Age: 18 Minimum Education: High School Diploma or Equivalent (TAS)	C/GED)
Physical Condition: Be physically able to perform the work required as determined by: Applicant self report, no medical exams required. Applicants, when offered a position, n screening prior to start date.	
(Note: Costs for medical examination, if required, are at the expense of the sponsor. Additionally, a application fees charged to an applicant may not result in a profit for the sponsor.)	any testing fees and permitted
Other:	
Other:	Anyo -
Other:	NYS Department of Labor Apprentice Training
	AUG 2 4 2023
Application forms may be obtained: From: 01/01/2023 To: 12/31/2023 Name: Helio Health	Central Office
Address: 555 East Genesee Street, Syracuse, NY	
Days: Monday-Friday Times: 8:00am-4:00pm	
Phone: (315) 474-5506 Email:	
Special Instructions:	
All job applications and descriptions will be found on the Helio Health website (www.Heli all applications for the future apprenticship positions. There will be no From/To for recru	
All Applications Must be (please check)	12/31/2023



Sponsor Code	
Trade Code(s)	80-613C

Selection Standards and Evaluations

Name of Candidate: T	rade: <u>Cer</u>	tified Recove	ery Peer A	dvocate	<u> </u>
ddress: City:		St	ate: 2	Zip:	TEN SA DE
Only those checked apply. Educational Achievement	P a	Maximum Points Allowable	Number of Years Credited	Score	Then it is a
D : 1 (5 - 1)	Total	43			Total
Points for Each Year of Education Past Grade 12 or Equivalent as Recognized by Local Educational Authorities	1	10		B military	
Points for Each Year of Related Technical Education Past Grade 12 or Equivalent as Recognized by Local Educational Authorities	6 .e. u	8	n , en n	la sea	gu sar një
Points for Each Trade Related Adult or Continuing Education Course Completed			-	1517	prisex 3 St
✓ 25 Other: Enrolled in Helio Health HRSA Supercare Program		25			
Work Experience	Total	20	anne anne nime		Total
5 Points for Each Year of Trade Related Work Experience		15			Value of
Points for Each Year of Active Military Experience					
Points for Each Year of General Work Experience	- 30	5	er h	n aertekty	de complete
Other :	-	mining the se	C. IP IV IV	TIENT WIT	To Late
Seniority	Total	25	(A. 10. 10. 10. 10. 10. 10. 10. 10. 10. 10		Total
Points for Each Year of Employment with The Sponsoring Firm		1 18 17			
✓ 25 Other: Current CRPA or CRPA-P				4839.75	aniemo
Job Aptitude	Total	25	100 to 100 200 to 100 to		Total
Name of Aptitude Test:					
Administered by					-
Other:					- Yn Esse
Oral Interview: Not to Exceed 40% of Total Score	Total	12			Total
5 Ability to Communicate		5			J. P. Holley
✓ 1 Willingness to Accept Obligation of Apprenticeship	1 - 1	1	Silver St. American St. Co.		Sell Inglish
✓ 5 Ability to Reason and Comprehend		5			
1 Interest and Motivation		1	e e interpretario de la composición dela composición de la composición dela composición dela composición dela composición de la composición dela composición de la composición dela compos		
Other:					_
Other:			San San San San		
Tatal All conditions		100	Total	4- 1-	
Total Allowable Points	7	100	Score →		
		Rank	9Dam.		
valuated by:(Name)		_ Date: <u>App</u>	epartment rentice Tra G 2 4 300	of Labor	and the same
ponsor Name: Helio Health Inc.		A1	10 0	aining "	
ponsor Address: 555 East Genesee Street Syracuse, NY 13202		A()	6 2 4 202	23	7.0
ponsor Address: October School Syluction, 177 10202		Cen	tral Office		
508 (3/22)			Office		Page 1 of



www.labor.ny.gov

NYS Department of Labor Apprentice Training

AUG 2 4 2023

Non-Discrimination Plan (Short Form)

Central Office

A. **Equal Opportunity Pledge:** Our company recognizes that all persons shall have equal opportunity in employment and apprenticeship training, and agrees to adhere to the following:

The recruitment, selection, employment, and training of apprentices during the apprenticeship shall be without discrimination because of race, creed, color, religion, national origin, age, sex, disability, veteran status, marital status, or arrest record. The sponsor will take affirmative action to provide equal opportunity in apprenticeship and will operate the apprenticeship program as required under Title 29 of the Code of Federal Regulations, Part 30; Title 12 of the Official Compilation of Codes, Rules and Regulations of the State of New York, Part 600; and the Americans with Disabilities Act of 1990.

B. **Sexual Harassment Prevention Policy:** Our policy is that sexual harassment is **prohibited**. This policy applies to internal activity towards employees, interaction between employees and actions and treatment directed towards employees, from any person or persons at the worksite whether or not they are employees of this organization.

Equal Employment Opportunity Commission (EEOC) guidelines provide that verbal or physical conduct of a sexual nature may constitute sexual harassment when:

- Submission to such contact is made either explicitly or implicitly a term or condition of an individual's employment;
- Submission to, or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual; and/or
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

When an employee has a complaint of sexual harassment, the complaint should be brought promptly to the attention of his/her immediate supervisor, or to the next level of supervision. These persons have the authority and responsibility to resolve the complaint. If the complaint is not satisfactorily resolved, the employee has the right to contact the NYS Division of Human Rights and the Federal Equal Employment Opportunity Commission. The complaint will be investigated; if substantiated, prompt action will be taken to stop the harassment immediately and prevent recurrence.

If an employee is an **apprentice**, the program's apprenticeship administrator and the NYS Apprenticeship Director **must** be notified of the complaint.

C. Minimum Qualifications and Selection Standards: It is agreed that the minimum qualifications and selection standards

	vill be those listed on form AT 505, Apprentice Training Recruitment Notification and Minimum Qualifications, and form Selection Standards and Evaluations, on file with the Department.
D. Recrui	nent: It is agreed that the sponsor will recruit applicants for apprenticeship by (Check One):
	Listing all apprentice openings with the NYS Job Bank (<u>www.newyork.us.jobs/</u>) for a minimum of five full working days before selections are made.
	Limiting recruitment to present employees of the sponsorand/orunion members of the union sponsoring the apprenticeship program. Resulting vacancies will be listed with the NYS Job Bank (www.newyork.us.jobs/). Recruiting apprentices by methods other than those above. A detailed statement of the recruitment method must be attached and approved by the Commissioner of Labor prior to being used.
On behalf of the	ponsor, I certify that it is our intent to fulfill these Equal Opportunity Standards.
Signature of Spo	The above signature must be the employer's Chief Executive Officer or the Chair of the Joint Apprenticeship Committee or their authorized representative.
Je	remy Klemanski President & C.E.O.
-	Print Name and Title

New York State Department of Labor

Sponsor Code

and Substance Abuse Course

Trade(s) Certified Recovery Peer Advocate and Alcoholism TradeCode(s) 80-613C and 80-498

No. of Apprentices

D.E.W.S., SYRACUSENY

AT 602 (11/20)

Approved by: _

Sponsor Name Helio Health