Division of Labor Standards Harriman State Office Campus Building 12, Room 185B Albany, NY 12226



Office Use Only: LS ID LCM PV Priority Taken by Date

# **Labor Standards Complaint Form**

Use this form to claim unpaid wages, illegal deductions, wage supplements, minimum wage, overtime, no meal period, etc.

**Note:** This complaint form is available in languages other than English. Anyone working in New York State may make a complaint to the New York State Department of Labor. Be sure to read Information About Filing a Claim (LS223.2) before filling out this form.

Please answer all questions for each part related to your claim. Providing complete information helps us review your complaint and accept it for investigation. Return your completed form to the address above.

We will contact you if we do not have enough information to proceed or if your claim appears invalid. If you have questions about how to complete this form call (888) 469-7365.

## We cannot accept the following wage or supplement claims:

- For work performed outside of New York State.
- From anyone employed in an administrative, executive, or professional capacity who earns over \$1300 gross per week (they are excluded from coverage under Sections 190[7] and 198-c[3]).
- From individuals employed by a public entity such as a town, county, or city.
- From individuals who are in business for themselves.
- For work performed on a public work project (use form PW-4).

### Part 1. Person Filing Claim (Employee/Complainant Information)

| 1.   | Name:(first)                 | (middle)                              | (last)              |           |
|------|------------------------------|---------------------------------------|---------------------|-----------|
| 2.   | Another name known by at v   | vork:                                 |                     |           |
| 3.   | Mailing address: No:         | Street:                               |                     | Apt. #    |
|      | City/town:                   | County:                               | State:              | Zip code: |
| 4.   | Phone: ( )                   | 5. Other phone:                       | ( )                 |           |
| 6.   | Email:                       | 7. Your primary/p                     | oreferred language: |           |
| Part | 2. Claim Filed Against (E    | Business/Business Owner Informat      | ion)                |           |
| 8a.  | Business name:               |                                       |                     |           |
| 8b.  | Legal name (if different):   |                                       |                     |           |
| 8c.  | Legal entity type:  Individu | ual 🗌 LLC 🔲 Partnership 🔲 Corporation | n 🔲 Other:          |           |
| 8d.  | Mailing address: No.:        | Street:                               | FI/Rm/Su            | uite#:    |
|      | City/town:                   | County:                               | State:              | Zip code: |
| 8e.  | Business phone: ( )          | 8f. Email                             | l:                  |           |

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| 9b.  | Mailing address: No.:              | Street:                      |                                       | Ар        | ot. #:                 |
|------|------------------------------------|------------------------------|---------------------------------------|-----------|------------------------|
|      | City/town:                         | County:                      | Stat                                  | e:        | Zip code:              |
| 9c.  | Owner phone: ( )                   | 9d                           | Email:                                |           |                        |
| 10.  | Business type: ☐ restaurant ☐      | retail store 🗌 domestic hel  | p $\square$ construction $\square$ of | fice 🗌 o  | ther:                  |
| 11.  | Business hours of operation:       | 1                            | 2. Total # of employee                | s:        |                        |
| 13a. | Is the company still in business?  | ☐ Yes ☐ No 13b. If "N        | o," when did business c               | lose?     |                        |
| 14.  | Employer's bank name and locat     | ion (attach copy of check o  | r check stub):                        |           |                        |
| 15.  | Has the employer filed for bankru  | uptcy? ☐ Yes ☐ No ☐U         | nknown                                |           |                        |
| Part | 3. Person Filing Claim (Emp        | loyment Information)         |                                       |           |                        |
| 16.  | Your job title:                    | 17. Тур                      | e of work you performe                | d:        |                        |
| 18.  | Date hired:                        | 10. Name and title of no     | reep who bired your                   |           |                        |
| 20.  | Name/s of your manager/supervi     | 19. Name and title of pe     | rison who niled you.                  |           |                        |
| 21.  | Name of person who paid your w     |                              |                                       |           |                        |
| 22.  | Worksite address: No.:             | Street:                      |                                       |           | FI/Rm/Suite#:          |
| 22.  | City/town:                         | County:                      |                                       | State:    | Zip code:              |
| 23.  | Did you regularly travel outside N | _                            | <u></u>                               | olalo.    | 21p 0000.              |
| 24.  | Your relationship with business:   |                              | _                                     | mporarily | √ laid-off             |
| 25a. | Last day worked:                   | 25b. Reason for le           |                                       |           | ,                      |
| 26a. | Were you a member of a union?      |                              |                                       | ocal no.: |                        |
|      | Your rate of pay: \$               | per 🗌 Day 🔲 Wee              |                                       |           |                        |
| 27b. | Your overtime rate of pay: \$      |                              |                                       |           |                        |
| 28a. | Did you earn tips on a regular ba  | sis?                         | o. If "Yes," how much o               | n averag  | je per hour?           |
| 28c. | Has your employer kept your or a   | any other employee's tips?   | ☐ No ☐ Yes – your                     | s 🗌 Ye    | es – others'           |
| 28d. | If "Yes," how much? Please Expl    | ain:                         |                                       |           |                        |
| 29a. | What was your payday?   Mor        | n 🗌 Tues 🗌 Wed 🔲 Thu         | rs 🗌 Fri 🔲 Sat 🔲 S                    | un        |                        |
| 29b. | What period did this cover? (e.g.  | Sat through Fri)             |                                       |           |                        |
| 30.  | How often were you paid? ☐ Da      | aily 🗌 Weekly 🔲 Every        | wo weeks   Other                      |           |                        |
| 31.  | How were your wages paid? ☐        | Cash                         | Deposit  Pay Card                     |           |                        |
|      | ☐ Combination: (please explain     | - e.g. part in cash and part | by check)                             |           |                        |
| 32a. | Were you required to wear a u      | niform? Yes No 3             | 32b. If "Yes," describe the           | ne unifor | m:                     |
| 32c. | Were uniforms free of charge?      | ☐ Yes ☐ No 32d. If "No       | o," how were uniforms p               | urchase   | d and how much did the |

Owner(s) name(s) and title(s):

9a.

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#### Part 4. Unpaid Wages Claim

Fill in this section if you are owed wages (see Part 6 if you are due overtime pay). Use one row for each week. Gross wages mean the amount earned before taxes or other deductions. Attach a separate sheet(s) for additional weeks, or to give more information.

| A.<br>Payroll<br>Week<br>Ending<br>Date | B.<br>Number<br>of Days<br>Worked<br>in the<br>Week | C.<br>Hours<br>Worked<br>in the<br>Week | D.<br>Rate<br>of Pay<br>(Earned or<br>Promised) | E. Illegal Deductions from Wages (e.g. fines, breakage, etc.) | F.<br>Gross<br>Wages<br>Owed<br>for the<br>Week | G. Gross Wages Paid (If emplo paid some of t wages owed write the amothere) | the | H. Difference Between Gross Wages Owed and Gross Wages Paid |
|---|---|---|---|---|---|---|-----|---|
| Ex.:<br>4/4/2017                        | 7   | 35                                      | \$16.00 per<br>hour                             |   | \$560<br>(CxD)                                  | \$0   |     | \$560<br>(F-G)  |
|   |   |   |   |   |   |   |     |   |
|   |   |   |   |   |   |   |     |   |
|   |   |   |   |   |   |   |     |   |
|   |   |   |   |   |   |   |     |   |
|   |   |   |   |   |   |   |     |   |
|   |   |   |   |   |   | I. Total  |     |   |

| 33a. | If your paycheck was not honored by the bank, please provide check number and payroll week ending date. If available, |
|------|---|
|      | provide a copy of the check:  |

| 33h  | Claim Range:      | What time             | period does | vour wage | claim cover?     |
|------|-------------------|-----------------------|-------------|-----------|------------------|
| OOD. | Cidiiii i taiiqo. | v v i i a t tii i i o | polica acco | your wage | didilii oo voi i |

| Date from: | to  |
|------------|-----|
| Date from: | IO. |

## Part 5. Unpaid Paid Sick Leave

**Fill in this section for Paid Sick Leave you are owed**. Section 196-b of the New York State Labor law requires employers with five or more employees or net income of more than \$1 million to provide paid sick leave to employees. On September 30, 2020, covered employees in New York State began to accrue leave at a rate of one hour for every 30 hours worked. On January 1, 2021, employees may start using accrued leave.

| A.<br>Time Period Paid<br>Sick Leave<br>Accrued | B.<br>Amount of Paid<br>Sick Leave<br>Accrued | C.<br>Date(s) when<br>Paid Sick<br>Leave used | D.<br>Amount of<br>Benefit Time<br>Owed | E.<br>Regular Rate<br>of Pay | F.<br>Amount of<br>Benefit Payment<br>Due |
|---|---|---|---|------------------------------|---|
| Ex.: 9/30/20-1/8/21                             | 16.5 hours                                    | 1/11/21                                       | 8 hours                                 | \$20/hour                    | \$160                                     |
|   |   |   |   |                              |   |
|   |   |   |   |                              |   |
|   |   |   |   |                              |   |
|   |   |   | G. Total                                |                              |   |

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#### Part 6. Unpaid Wage Supplement Claim

**Fill in this section for wage supplements you are owed**. Wage supplements are fringe benefit payments promised by the employer such as: vacation pay, expenses, and holiday pay, etc.

34. Explain the benefits promised or attach a copy of the written policy/handbook:

| A. Type of<br>Benefit Owed | B. Time Period<br>Benefit Earned | C. Date<br>Benefit<br>Payment Due | D. Amount of<br>Benefit Time<br>Owed | E. Amount of<br>Benefit<br>Payment Due | F. Benefit Promised by:  |
|----------------------------|----------------------------------|-----------------------------------|--------------------------------------|--|--|
| Ex.: Vacation pay          | 1/1/16–12/31/16                  | 1/1/17                            | 1 week                               | \$700                                  | written policy verbal promise written policy verbal promise                |
|                            |                                  |                                   |                                      |  | written policy verbal promise written policy written policy verbal promise |
|                            |                                  |                                   | G. Total                             |  | verbai promise   |

## Part 7. Unpaid Minimum Wage or Overtime Claim

**Fill in this section** if you were paid below the State Minimum Hourly Wage and/or you were not paid overtime, or if you are owed extra pay for working 2 shifts in one day, or for working more than 10 hours in one day. Most employees must be paid at least the minimum wage and time and  $\frac{1}{2}$  if they work more than 40 hours per week.

| east | the minimum wage and time and ½ if they work more than 40 hours per week.                                |
|------|--|
| 35a. | Are you paid the minimum wage for each hour worked?   Yes   No   |
| 35b. | Are you paid time and $\frac{1}{2}$ for the hours worked over 40? $\square$ Yes $\square$ No             |
| 35c. | Are you paid any wages for the hours worked over 40?   Yes   No 35d. If "Yes," how much per hour?        |
| 35e. | Are you paid an extra hour for working 2 shifts in one day or for working more than 10 hours in one day? |
|      | ☐ Yes ☐ No   |
| 35f. | If "No" to any of the above, please explain and fill in the schedule of your work week below:            |

| A. Workday | B. Time Workday<br>Started | C. Time Workday<br>Ended | D. Time off for Meals | E. Total Hours |
|------------|----------------------------|--------------------------|-----------------------|----------------|
| Example    | 10:00 am                   | 11:00 pm                 | 30 min                | 12.5 hours     |
| Sunday     | :                          | :                        |                       |                |
| Monday     | :                          | :                        |                       |                |
| Tuesday    | :                          | :                        |                       | ·              |
| Wednesday  | :                          | :                        |                       |                |
| Thursday   | :                          | :                        |                       |                |
| Friday     | :                          | :                        |                       |                |
| Saturday   | :                          | :                        |                       |                |
|            |                            |                          | F. Weekly Total       |                |

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| 36a.  | Are the hours w   | orked listed above the    | same every week? 🔲 Yes           | s □ No                       |             |
|-------|---|---------------------------|----------------------------------|------------------------------|-------------|
| 36b.  | If "No," please provide your estimate of average number of hours worked per week: |                           |                                  |                              |             |
| 36c.  | Are you owed ca   | all-in pay, or uniform n  | naintenance pay? If yes, ple     | ase explain and provide da   | ites.       |
| 36d.  | Claim Range: W  | •                         | your minimum wage or over<br>to: | time claim cover?            |             |
| 36e   | Provide informa   | tion on vour regular ar   | nd overtime rates of pay duri    | ng the above claim range     |             |
| 000.  | Date from:  | don on your rogular ar    | to:                              | ng the above dann range.     |             |
|       | Regular: \$   | per                       | Overtime: \$                     | per                          |             |
|       | Date from:  | ·                         | to:                              | ·                            |             |
|       | Regular: \$   | per                       | Overtime: \$                     | per                          |             |
|       | Date from:  |                           | to:                              |                              |             |
|       | Regular: \$   | per                       |                                  | per                          |             |
| Part  | 8. Non-Wage C   | Complaint                 |                                  |                              |             |
| Choo  | k those that ann  | Ny ifavou want to ma      | ke a non-wage related con        | anlaint Chock all that an    | nly         |
|       |   | rovide an additional      |                                  | ipiaiiit. Check ali that ap  | piy.        |
| The e | employer failed to  | :                         |                                  |                              |             |
| 37a.  | ☐ Provide a 30-   | -minute meal period _     |                                  |                              |             |
|       | Were you paid   | d for the time worked     | when the employer failed to      | provide the meal period?     | ☐ Yes ☐ No  |
| 37b.  | ☐ Provide a wa  | ge statement (pay stu     | b)                               |                              |             |
| 37c.  | ☐ Provide a day   | y of rest                 |                                  |                              |             |
| 37d.  | ☐ Provide a not   | tice of pay rate with all | required information             |                              |             |
| 37e.  | ☐ Provide for a   | ccrual of required New    | v York State Paid Sick Leave     |                              |             |
| 37f.  | ☐ Post required   | l notices/Minimum Wa      | ge Poster                        |                              |             |
| 37g.  | ☐ Follow rules f  | for employment of min     | ors (under 18)                   |                              |             |
| 37h.  | ☐ Other   |                           |                                  |                              | <del></del> |
| Part  | 9. Claim Backç  | ground                    |                                  |                              |             |
| 38a   | Did you ask for   | your wages: 🛚 Yes         | □ No                             |                              |             |
| 38b.  | If "Yes," please  | explain. Who and wh       | en did you ask, and what ha      | appened?                     |             |
|       |   |                           |                                  |                              |             |
|       | Have you already<br>□ Yes □ No  | taken action, such as     | s filing in small claims court o | or a lawsuit, to recover you | r wages?    |
| 38d.  | If "Yes," please  | explain.                  |                                  |                              |             |

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| Part                                       | 10. Claim Assistance   |
|--|--|
| 39a.                                       | Do you have a representative (e.g. private attorney, advocacy group)?   Yes  No  |
| 39b.                                       | If "Yes," provide name of person or group:   |
| 39c.                                       | Has this representative assisted you in filing this claim? ☐ Yes ☐ No  |
| 39d.                                       | Have you paid, or do you plan to pay, this representative? ☐ Yes ☐ No  |
| 39e.                                       | Do you want us to speak with this representative about your claim?   Yes No If so, representatives must submit a Letter of Representation (LS 11).   |
| 39f.                                       | Did anyone, other than the representative, help you fill out this form?   Yes   No   |
| 39g.                                       | If "Yes." who helped you and why did they help you?  |
| Add  | itional Comments/Useful Information:   |
| Com<br>any<br>reso<br>I cer<br>mak<br>my r | submitting this claim you acknowledge and understand that the NYSDOL will, in the discretion of the emissioner of Labor's authority, evaluate your claim for investigation, determine the scope of investigation on claim accepted, and will resolve claims as expeditiously as possible. The disposition of complaints and lution of violations shall be determined by the Commissioner of Labor.  Itify the above information is true to the best of my knowledge, and I am aware there are penalties for ing false statements. I authorize the Commissioner of Labor, deputies or agents to receive, endorse name on, and deposit in the account of the Commissioner of Labor any checks or money orders e out to me as payment on this claim. I will notify the New York State Department of Labor if my fact information changes. |
|  | Claimant Signature Date  |

Return your completed form to the address on Page 1.

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